

Complexity of Δ_2^1 sets and μ -Calculus: when Infinite Games Make Modal Logic and Descriptive Set Theory Meet

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What is μ -calculus?

propositional modal μ -calculus

=

{
propositional modal logic
+
least and greatest fixpoint operators

Why the μ in μ -calculus ?

φ a monotone function from a complete ordered set into itself.

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$\mu x.\varphi(x)$ = the least fixpoint of φ

$\nu x.\varphi(x)$ = the greatest fixpoint of φ

Why the μ in μ -calculus ?

Take φ a modal formula, x occurs **only positively** in φ .

Let $\mathbf{K} = \langle W, R \rangle$ be any Kripke structure (any transition system), and $V : P \rightarrow \wp(W)$ any valuation.

Why the μ in μ -calculus ?

Take φ a modal formula, x occurs **only positively** in φ .

Let $\mathbf{K} = \langle W, R \rangle$ be any Kripke structure (any transition system), and $V : P \rightarrow \wp(W)$ any valuation.

$$\begin{aligned} \varphi(x) : \quad & \wp(W) \rightarrow \wp(W) \\ \|\varphi(x)\| = S \mapsto & \|\varphi(x)\|_{x \mapsto S} \end{aligned}$$

is a **monotone function** from $\langle \wp(W), \subseteq \rangle$ into itself.

Syntax

$$\varphi ::= p \mid \top \mid \perp \mid \neg\varphi \mid \varphi \wedge \varphi \mid \varphi \vee \varphi$$

propositional logic

Syntax

$$\varphi ::= p \mid \top \mid \perp \mid \neg\varphi \mid \varphi \wedge \varphi \mid \varphi \vee \varphi \mid \color{green}\diamond\varphi \mid \color{green}\square\varphi$$

modal logic

Syntax

$$\varphi ::= p \mid \top \mid \perp \mid \neg\varphi \mid \varphi \wedge \varphi \mid \varphi \vee \varphi \mid \diamond\varphi \mid \square\varphi \mid \underbrace{\mu x.\varphi \mid \nu x.\varphi}_{\mu\text{-calculus}}$$

where x is a propositional variable which **occurs only positively** in $\eta x.\varphi$
($\eta = \nu, \mu$).

Why μ -calculus?

The fixpoint operators makes the calculus very powerful (and really hard to understand....)

Why μ -calculus?

It can express :

liveness: “something good (p) will happen”

$$\mu x.p \vee \diamond x$$

Why μ -calculus?

It can express :

safety: “something bad (p) will never happen”

$$\nu x. \neg p \wedge \Box x$$

Why μ -calculus?

It can express :

fairness: “something good (p) will happen infinitely often”

$$\nu x. \mu y. (p \wedge \diamond x) \vee \diamond y$$

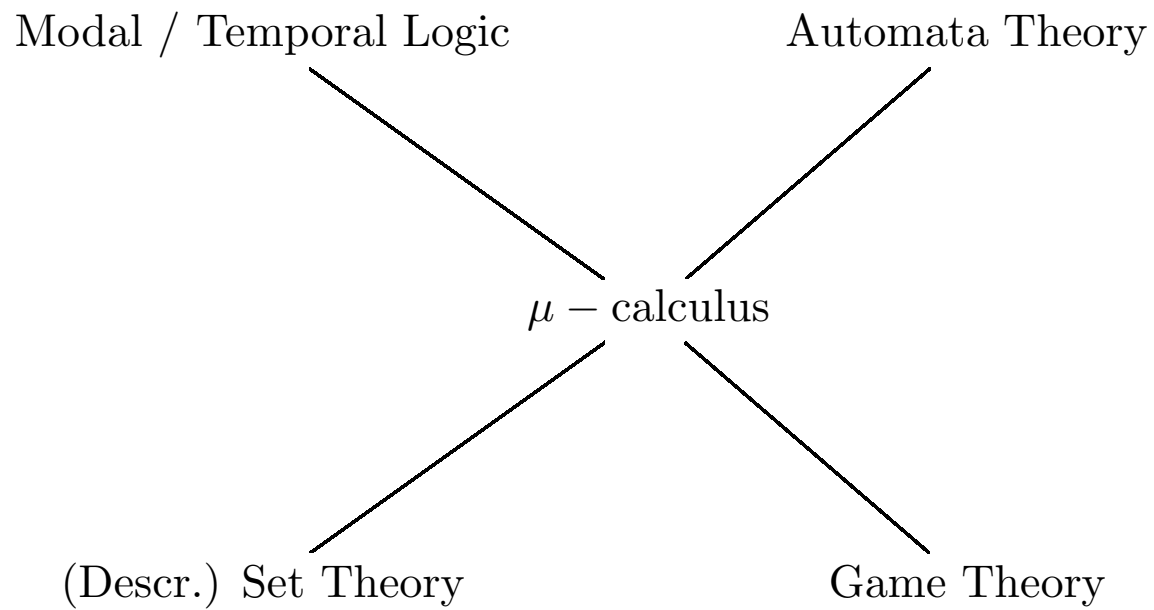
Why μ -calculus?

and much more...

Walukiewicz formula W_n :

$$\mu x_n \nu x_{n-1} \dots \mu x_1 \left((p \rightarrow \diamond \bigwedge_{1 \leq i} (r \rightarrow x_i)) \wedge (\neg p \rightarrow \square \bigwedge_{1 \leq i} (r \rightarrow x_i)) \right)$$

Why μ -calculus?



Semantics

Let $\mathbf{K} = \langle W, R \rangle$ be a Kripke structure (a transition system) and $V : P \rightarrow \wp(W)$ a valuation.

$$\|p\|_{\mathbf{V}}^{\mathbf{K}} = V(p)$$

$$\|\top\|_{\mathbf{V}}^{\mathbf{K}} = W$$

$$\|\perp\|_{\mathbf{V}}^{\mathbf{K}} = \emptyset$$

$$\|\neg\varphi\|_{\mathbf{V}}^{\mathbf{K}} = W \setminus \|\varphi\|_{\mathbf{V}}^{\mathbf{K}}$$

$$\|\varphi \wedge \psi\|_{\mathbf{V}}^{\mathbf{K}} = \|\varphi\|_{\mathbf{V}}^{\mathbf{K}} \cap \|\psi\|_{\mathbf{V}}^{\mathbf{K}}$$

$$\|\varphi \vee \psi\|_{\mathbf{V}}^{\mathbf{K}} = \|\varphi\|_{\mathbf{V}}^{\mathbf{K}} \cup \|\psi\|_{\mathbf{V}}^{\mathbf{K}}$$

Semantics

Let $\mathbf{K} = \langle W, R \rangle$ be a Kripke structure (a transition system) and $V : P \rightarrow \wp(W)$ a valuation.

$$\begin{aligned}\|\diamond\varphi\|_{\mathbf{V}}^{\mathbf{K}} &= \{s \in W : \exists t. \langle s, t \rangle \in R \wedge t \in \|\varphi\|_{\mathbf{V}}^{\mathbf{K}}\} \\ \|\square\varphi\|_{\mathbf{V}}^{\mathbf{K}} &= \{s \in W : \forall t. (\langle s, t \rangle \in R) \rightarrow (t \in \|\varphi\|_{\mathbf{V}}^{\mathbf{K}})\}\end{aligned}$$

Semantics

Let $\mathbf{K} = \langle W, R \rangle$ be a Kripke structure (a transition system) and $V : P \rightarrow \wp(W)$ a valuation.

$$\|\mu x.\varphi(x)\|_{\mathbf{V}}^{\mathbf{K}} = \bigcap \{S \subseteq W : \|\varphi(S)\|_{\mathbf{V}}^{\mathbf{K}} \subseteq S\}$$

$$\|\nu x.\varphi(x)\|_{\mathbf{V}}^{\mathbf{K}} = \bigcup \{S \subseteq W : S \subseteq \|\varphi(S)\|_{\mathbf{V}}^{\mathbf{K}}\}$$

Semantics

$$\|\varphi\| = \{\langle \mathbf{K}, V, s \rangle : s \in W \wedge s \in \|\varphi\|_{\mathbf{K}}^V\}$$

Completeness

Kozen's axioms for the μ -calculus:

- axioms and rules for the modal logic K
- the “unfolding” axioms: $\varphi(\mu x.\varphi(x)) \rightarrow \mu x.\varphi(x)$,
- Park's fixpoint induction rule: $\langle \varphi(\psi) \rightarrow \psi, \mu x.\varphi(x) \rightarrow \psi \rangle$

Completeness

Theorem 1 (Walukiewicz (93)) *The μ -calculus has a complete and sound axiomatization.*

From now on...

From now on...

...forget what you have seen about the semantics.

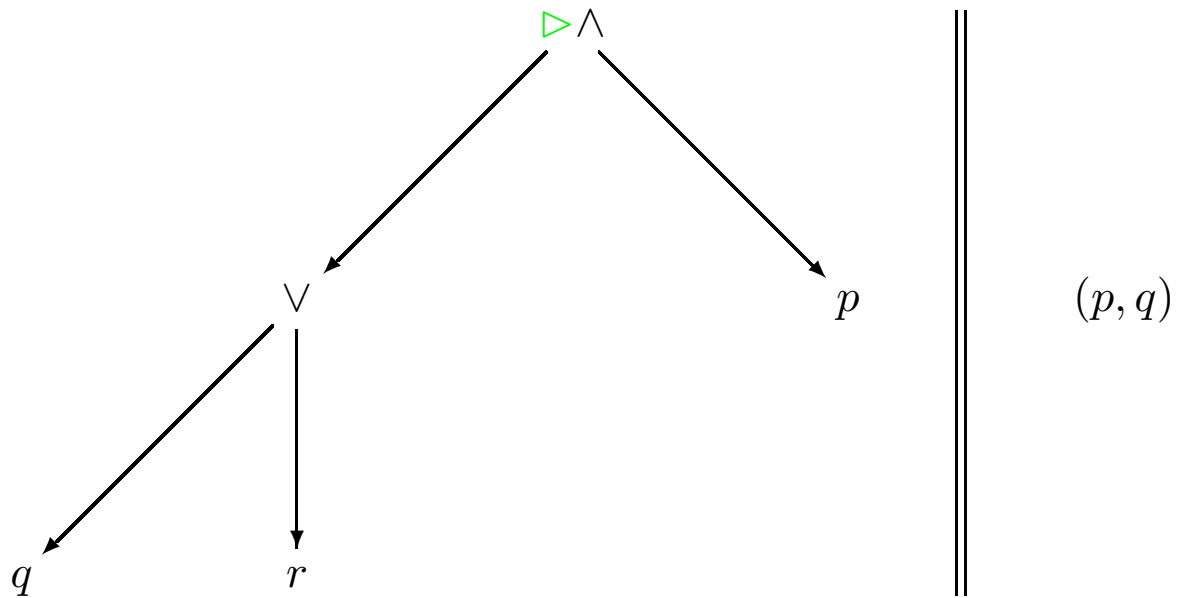
Let's play games.

Evaluation game for classical propositional logic

position	player	next position
$\langle p_i, w \rangle$	-	-
$\langle \neg\psi, w \rangle$	V and F switch their roles	$\langle \psi, w \rangle$
$\langle \psi \vee \phi, w \rangle$	V chooses between $\langle \psi, w \rangle$ and $\langle \phi, w \rangle$	V choice
$\langle \psi \wedge \phi, w \rangle$	F chooses between $\langle \psi, w \rangle$ and $\langle \phi, w \rangle$	F choice

Example 1

$$(q \vee r) \wedge p$$

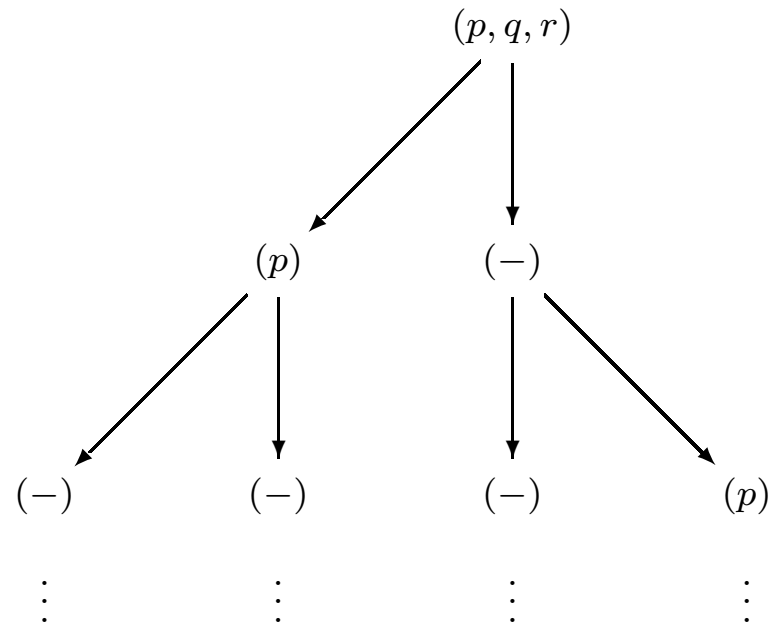
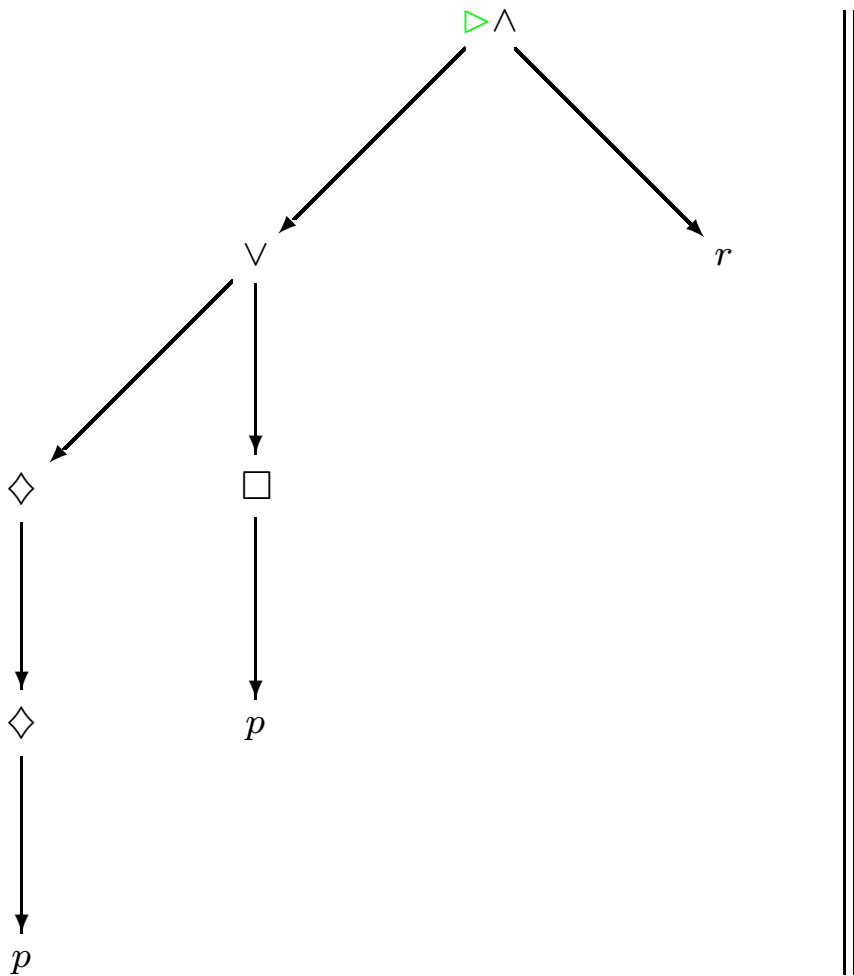


Evaluation game for modal logic

position	player	next position
$\langle p_i, w \rangle$	-	-
$\langle \neg\psi, w \rangle$	V and F switch their roles	$\langle \psi, w \rangle$
$\langle \psi \vee \phi, w \rangle$	V chooses between $\langle \psi, w \rangle$ and $\langle \phi, w \rangle$	V choice
$\langle \psi \wedge \phi, w \rangle$	F chooses between $\langle \psi, w \rangle$ and $\langle \phi, w \rangle$	F choice
$\langle \diamond\psi, w \rangle$	V chooses a node w' s.t. $w \longrightarrow w'$	$\langle \psi, w' \rangle$
$\langle \square\psi, w \rangle$	F chooses a node w' s.t. $w \longrightarrow w'$	$\langle \psi, w' \rangle$

Example 2

$$(\diamond\diamond p \vee \Box p) \wedge r$$



Evaluation game for μ -calculus

position	player	next position
$\langle p_i, w \rangle$	-	-
$\langle \neg\psi, w \rangle$	V and F switch their roles	$\langle \psi, w \rangle$
$\langle \psi \vee \phi, w \rangle$	V chooses between $\langle \psi, w \rangle$ and $\langle \phi, w \rangle$	V choice
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$\langle \square\psi, w \rangle$	F chooses a node w' s.t. $w \longrightarrow w'$	$\langle \psi, w' \rangle$
$\langle \mu x.\psi, w \rangle$	-	$\langle \psi, w \rangle$
$\langle \nu x.\psi, w \rangle$	-	$\langle \psi, w \rangle$
$\langle x, w \rangle$	-	$\langle \psi_x, w \rangle$

From a game-theoretical point of view...

...the game behind the μ -calculus is a **parity game**

From a game-theoretical point of view...

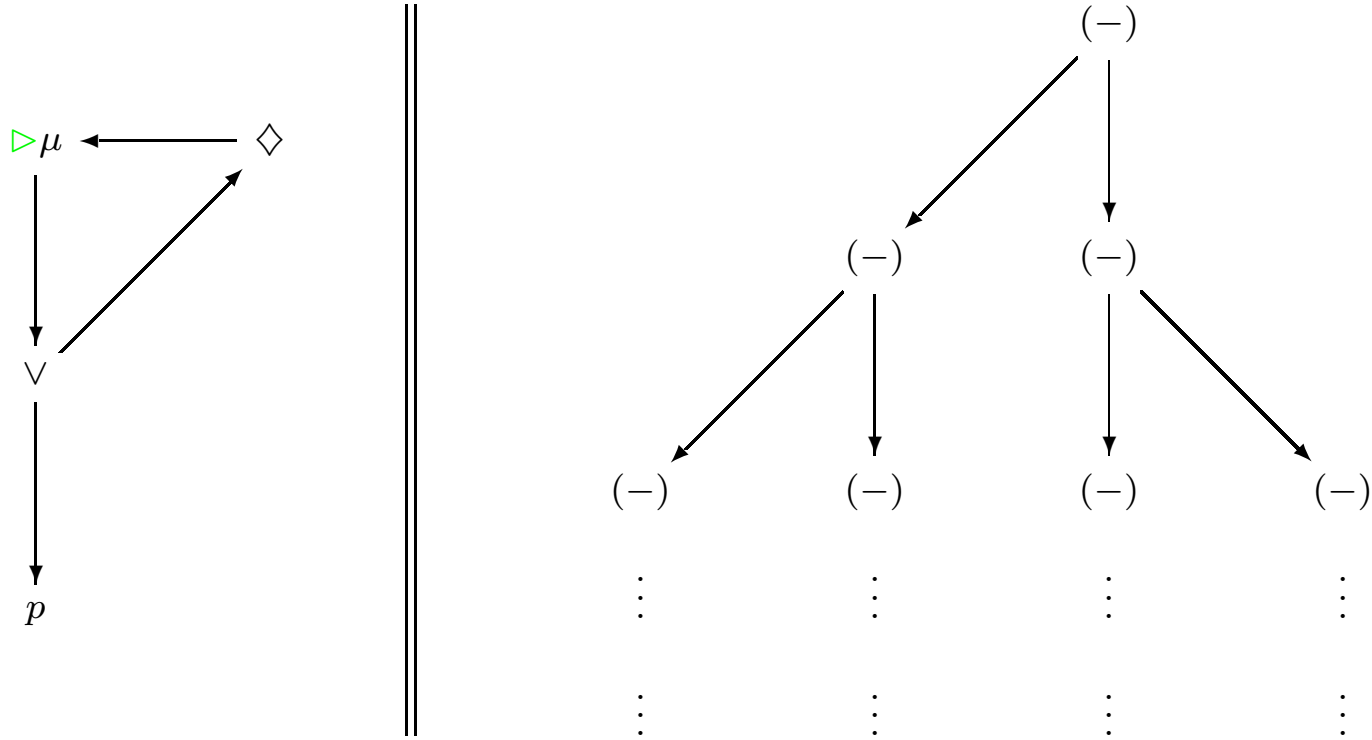
... “ μ means **finite** looping”

From a game-theoretical point of view...

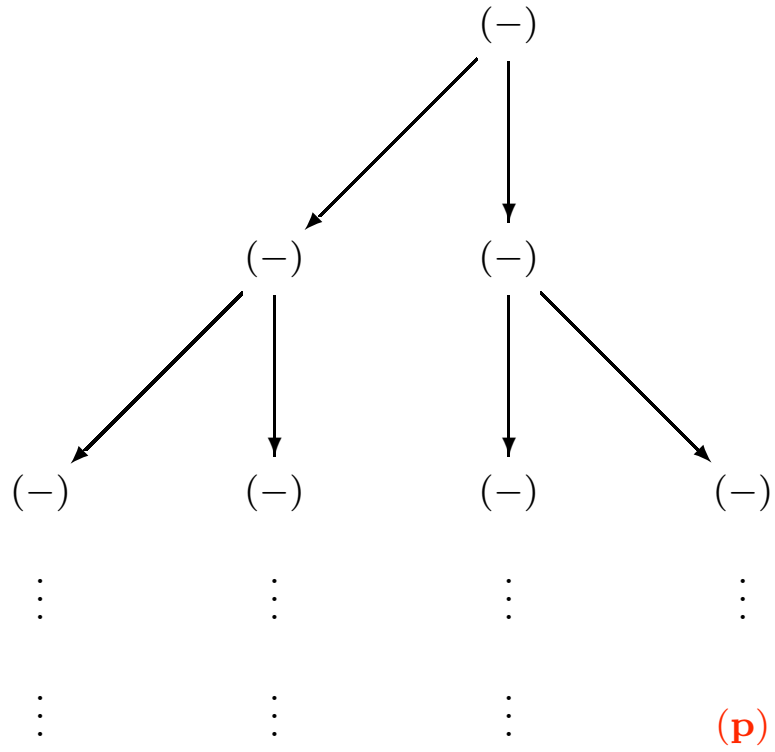
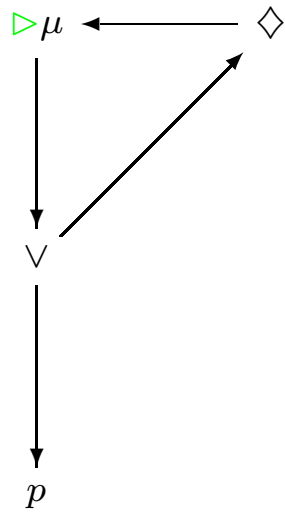
... “ ν means **infinite** looping”

Example 3

$$\mu x(p \vee \diamond x)$$

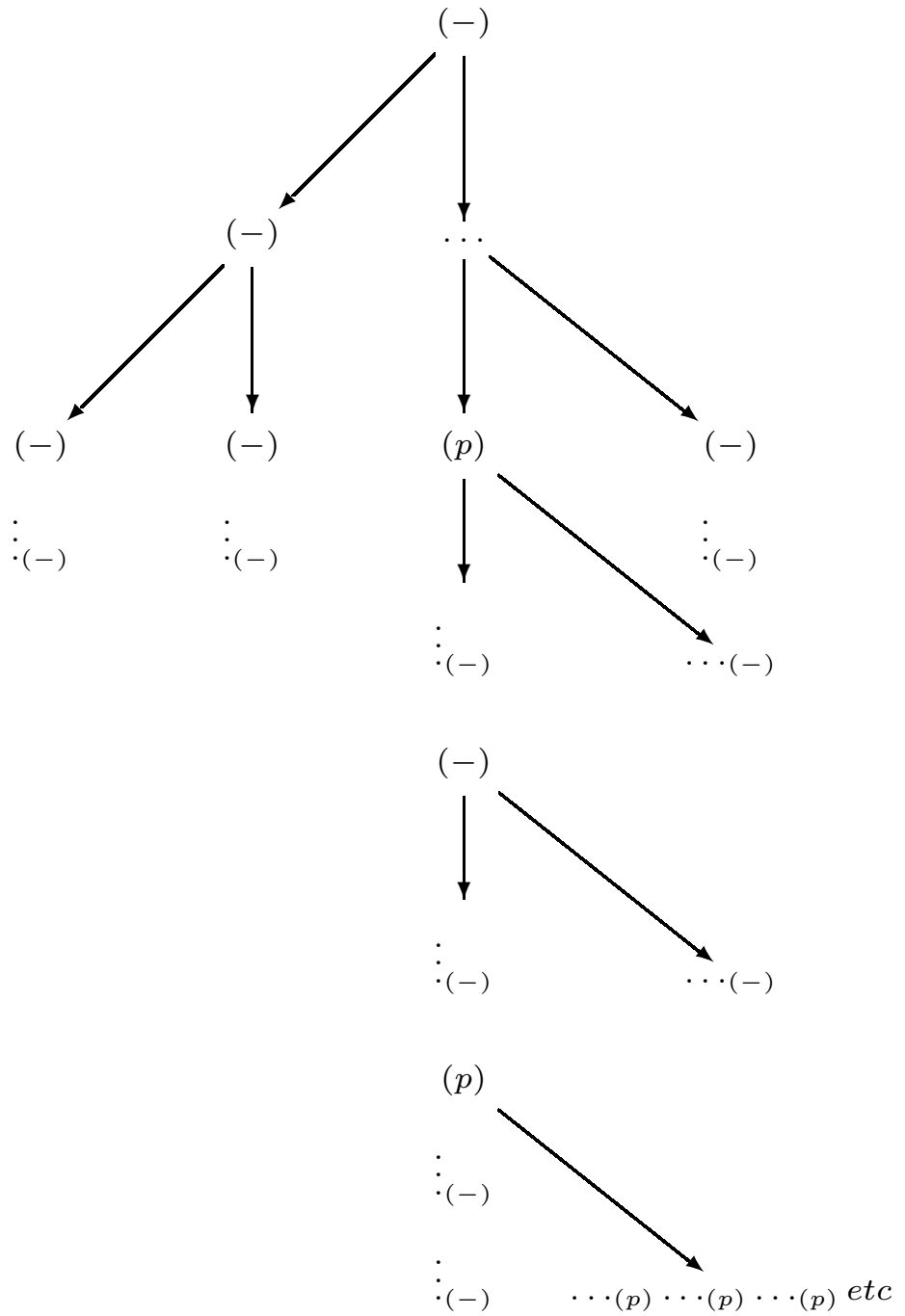
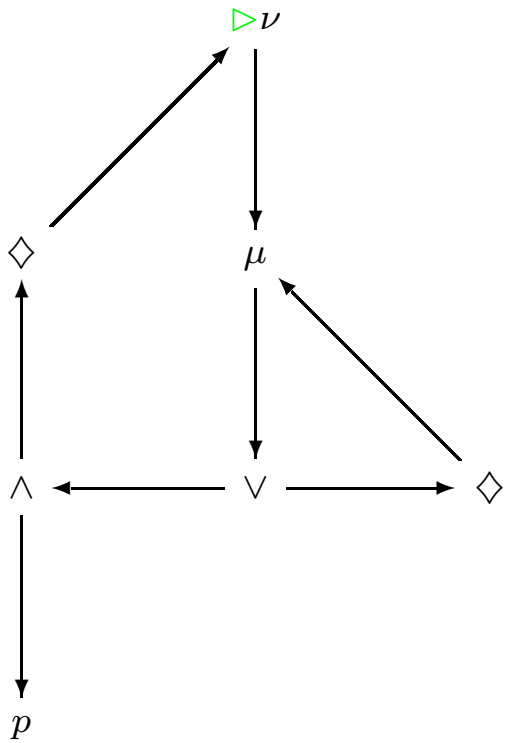


$$\mu x(p \vee \diamond x)$$



Example 4

$$\nu x(\mu y((p \wedge \diamond x) \vee \diamond y))$$



Proposition 1 (Game-theoretical version of the “fundamental theorem”)
 $s \in \|\varphi\|_{\mathbf{K}}^V$ iff V has a winning strategy in $\mathcal{E}(\varphi, \langle \mathbf{K}, V \rangle)$ starting at s .

The fixpoint alternation depth

The fixpoint alternation depth (fad) of a formula is the number of non-trivial nestings of alternating least and greatest fixpoints.

The fixpoint alternation depth

-

$$\varphi_1 := (p \vee \diamond q) \wedge \Box r$$

$$fad(\varphi_1) = 0$$

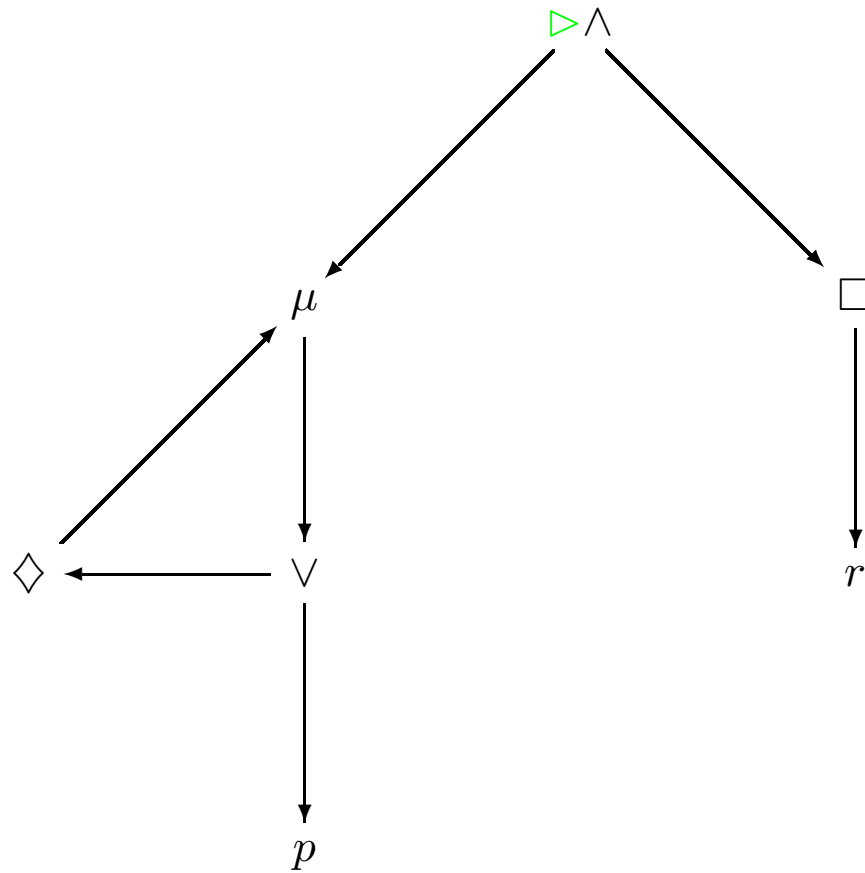
The fixpoint alternation depth

-

$$\varphi_2 := \mu x(p \vee \diamond x) \wedge \square r$$

The fixpoint alternation depth

-



The fixpoint alternation depth

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$$fad(\varphi_2) = 1$$

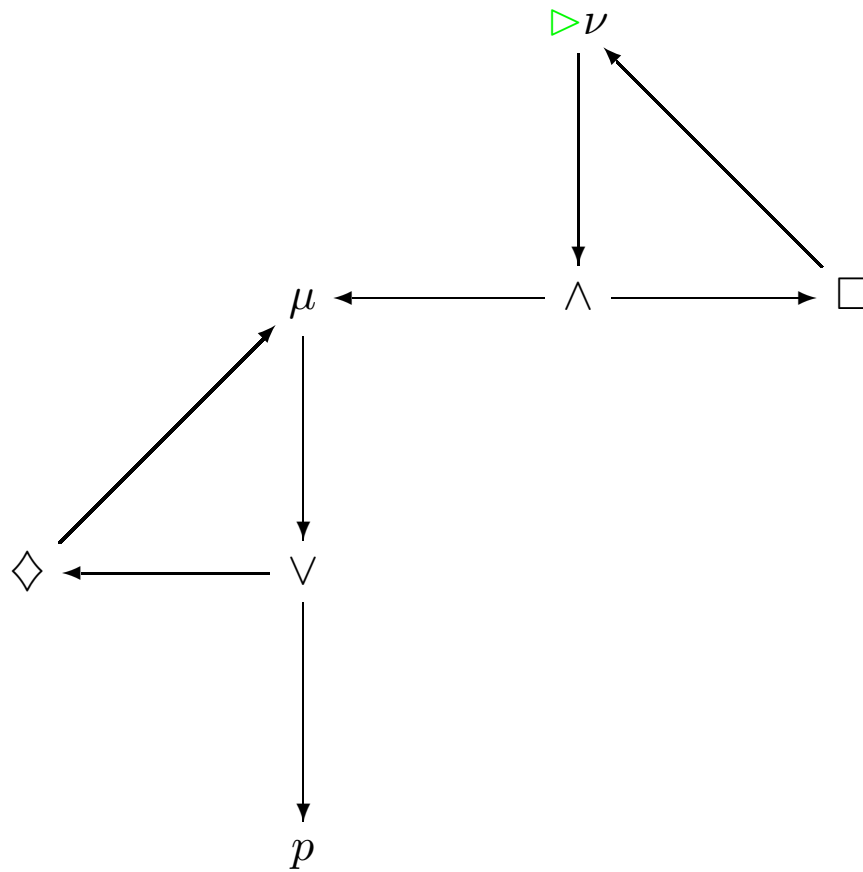
The fixpoint alternation depth

-

$$\varphi_3 := \nu y (\mu x (p \vee \diamond x) \wedge \square y)$$

The fixpoint alternation depth

-



The fixpoint alternation depth

-

$$fad(\varphi_3) = 1$$

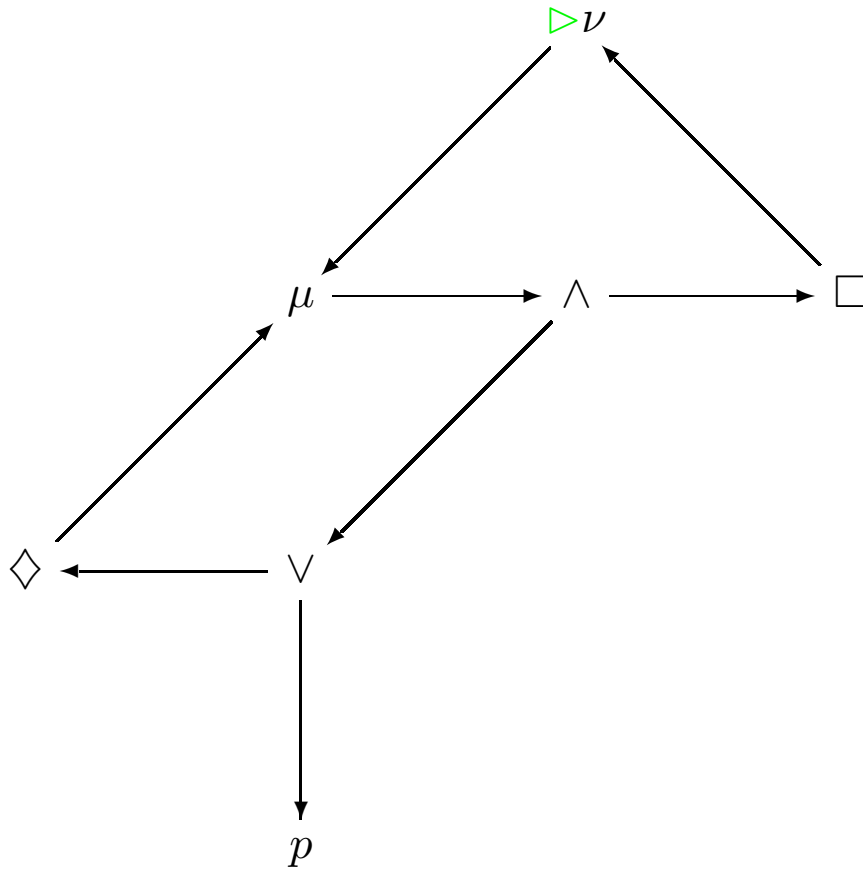
The fixpoint alternation depth

-

$$\varphi_4 := \nu y (\mu x ((p \vee \diamond x) \wedge \Box y))$$

The fixpoint alternation depth

-



The fixpoint alternation depth

-

$$fad(\varphi_4) = 2$$

The **syntactical** fixpoint alternation hierarchy

For $\eta = \nu, \mu$, $\eta(\Phi)$ is the smallest class of formulae s.t.:

- $\Phi, \neg\Phi \in \eta(\Phi)$;
- If $\psi(x) \in \eta(\Phi)$ and x occurs only positively, then $\eta x.\psi \in \eta(\Phi)$;
- If $\psi, \varphi \in \eta(\Phi)$, then $\psi \wedge \varphi, \psi \vee \varphi, \diamond\psi, \square\psi \in \eta(\Phi)$;
- If $\psi, \varphi \in \eta(\Phi)$ and x is bound in ψ , then $\varphi[\psi/x] \in \eta(\Phi)$

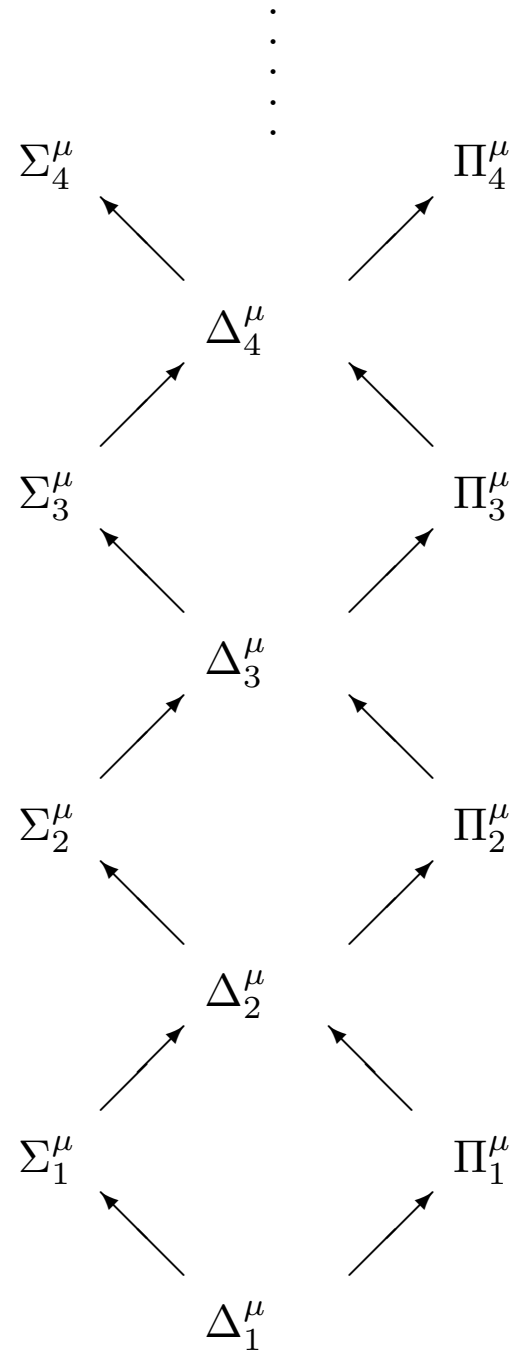
The syntactical fixpoint alternation hierarchy

- $\Sigma_0^\mu = \Pi_0^\mu$ - formulas without fixpoints;
- $\Sigma_{n+1}^\mu = \mu(\Pi_n^\mu)$;
- $\Pi_{n+1}^\mu = \nu(\Sigma_n^\mu)$.

$$\Delta_n^\mu := \Sigma_n^\mu \cap \Pi_n^\mu$$

The *syntactical* fixpoint alternation hierarchy

Clearly the *syntactical* fixpoint alternation hierarchy is strict.



The **syntactical** fixpoint alternation hierarchy

$$\mathcal{L}_\mu = \bigcup_{n \in \omega} \Sigma_n^\mu = \bigcup_{n \in \omega} \Pi_n^\mu$$

$$fad(\varphi) = n \text{ iff } n = \inf\{k : \varphi \in \Delta_{k+1}^\mu\}$$

The **semantical** fixpoint alternation hierarchy

$$\Sigma_n^{\mathbf{K}} = \{\|\varphi\| : \varphi \in \Sigma_n^{\mu}\}$$

$$\Pi_n^{\mathbf{K}} = \{\|\varphi\| : \varphi \in \Pi_n^{\mu}\}$$

$$\Delta_n^{\mathbf{K}} := \Sigma_n^{\mathbf{K}} \cap \Pi_n^{\mathbf{K}}$$

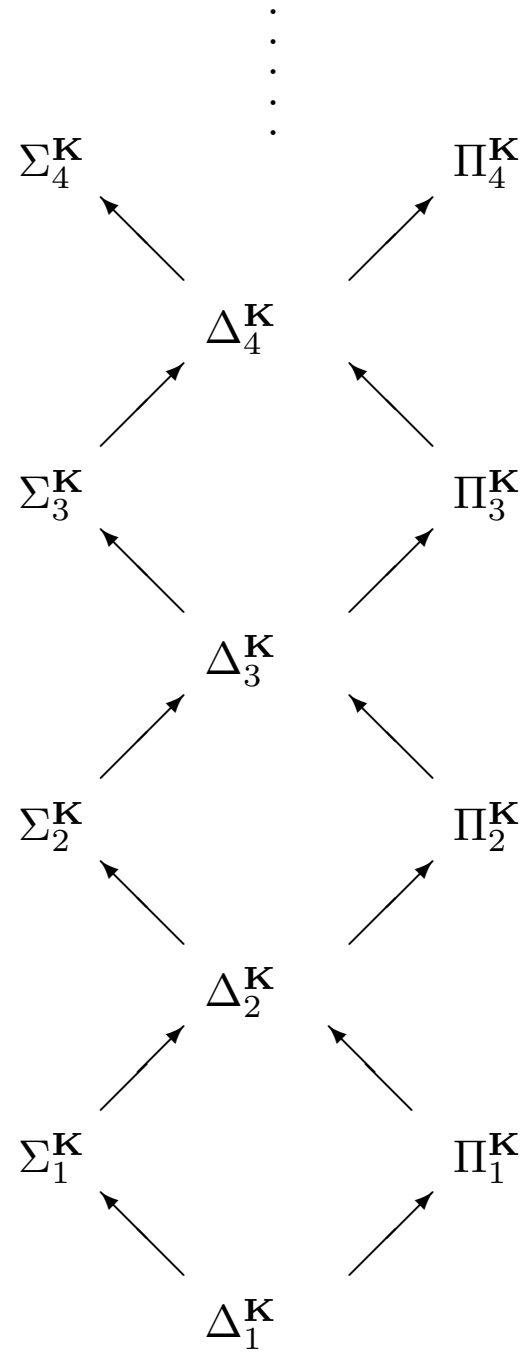
The **semantical** fixpoint alternation hierarchy

Theorem 2 (Bradfield (96), Lenzi (97)) *The **semantical** fixpoint alternation depth hierarchy is strict.*

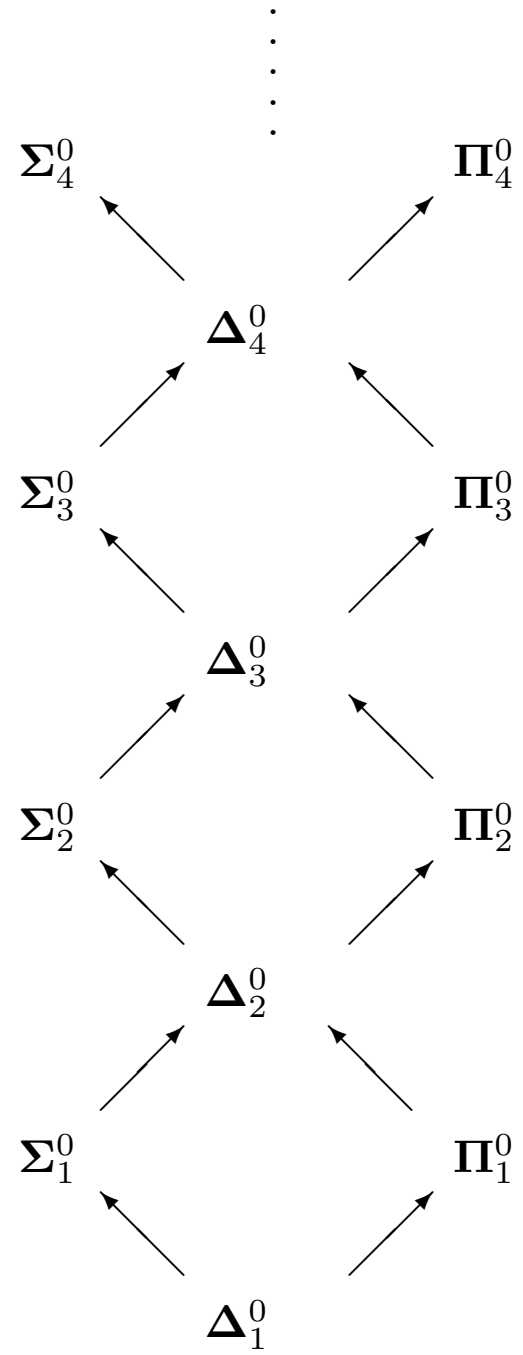
Proof: For every n , the Walukiewicz formula

$$\mu x_n \nu x_{n-1} \dots \mu x_1 \left((p \rightarrow \diamond \bigwedge_{1 \leq i} (r \rightarrow x_i)) \wedge (\neg p \rightarrow \square \bigwedge_{1 \leq i} (r \rightarrow x_i)) \right)$$

is $\Sigma_n^{\mathbf{K}}$ -complete.



... but it looks like ...



The Borel Hierarchy

As soon as they were introduced, Baire set up the Borel sets in a hierarchy : the Borel hierarchy, which relies on counting how many operations *complementation* and *countable union* are necessary to create a Borel set.

Definition 1

1. $\Sigma_1^0 = \{\text{open sets}\}$

2. $\Pi_\alpha^0 = \{B^c : B \in \Sigma_\alpha^0\}$

3. $\Delta_\alpha^0 = \Sigma_\alpha^0 \cap \Pi_\alpha^0$

4. $\Sigma_\alpha^0 = \{A = \bigcup_{n \in \mathbb{N}} A_n : A_n \in \bigcup_{\beta < \alpha} \Pi_\beta^0\}$

$$\mathcal{B} = \bigcup_{\alpha \in \mathcal{O}n} \Sigma_\alpha^0 = \bigcup_{\alpha \in \mathcal{O}n} \Pi_\alpha^0 = \bigcup_{\alpha < \omega_1} \Sigma_\alpha^0 = \bigcup_{\alpha < \omega_1} \Pi_\alpha^0 = \bigcup_{\alpha < \omega_1} \Delta_\alpha^0$$

Borel Sets from Above

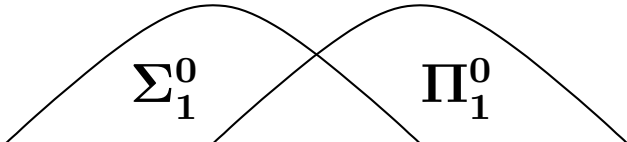
Suslin showed that, for countable alphabets:

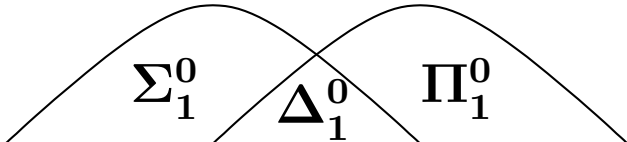
$$\mathcal{B} = \bigcup_{\alpha < \omega_1} \Sigma_\alpha^0 = \Sigma_1^1 \cap \Pi_1^1 = \Delta_1^1$$

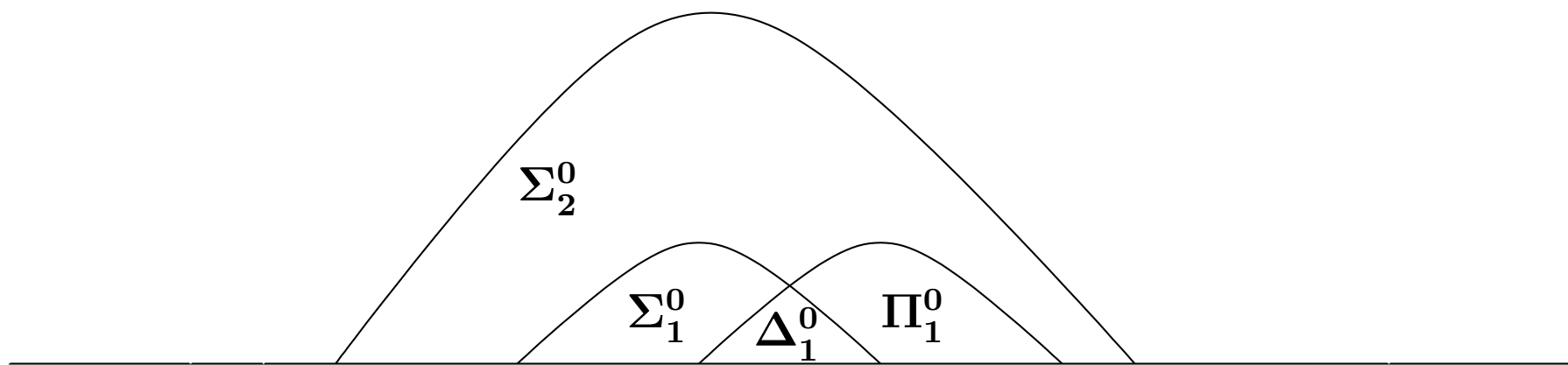
- $\Sigma^1 \iff \exists \mathcal{P}(\mathbb{N})$
- $\Pi^1 \iff \forall \mathcal{P}(\mathbb{N})$
- $\mathcal{P}(\mathbb{N}) \iff \mathbb{R} \iff A^\omega$
- $\Sigma_1^1 = \exists \mathcal{P}(\mathbb{N}) \Pi_1^0 = \exists \mathcal{P}(\mathbb{N}) \mathcal{B} = \exists A^\omega \mathcal{B}$
- $\Pi_1^1 = \forall \mathcal{P}(\mathbb{N}) \mathcal{B} = \forall A^\omega \mathcal{B}$

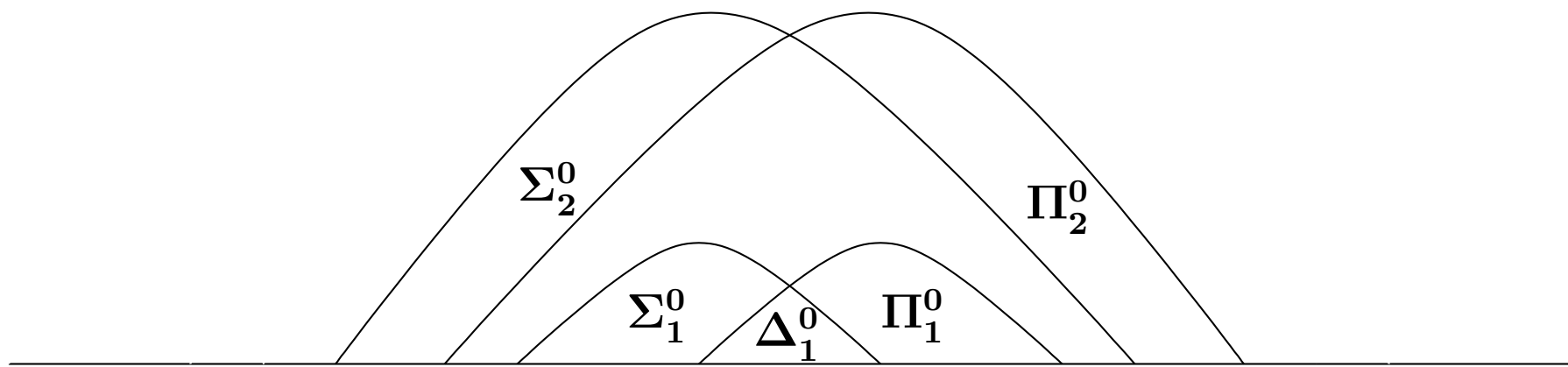


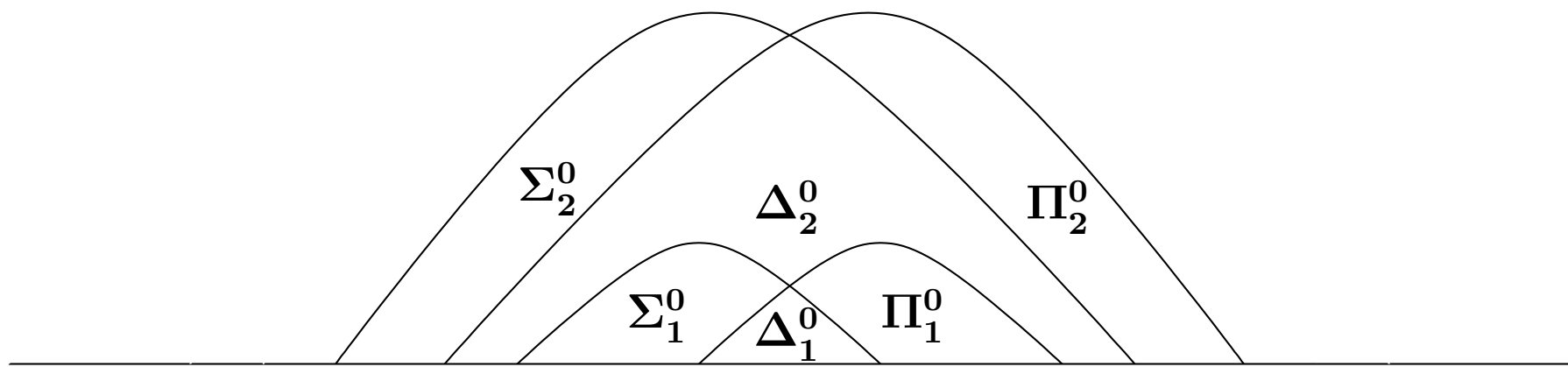
Σ_1^0

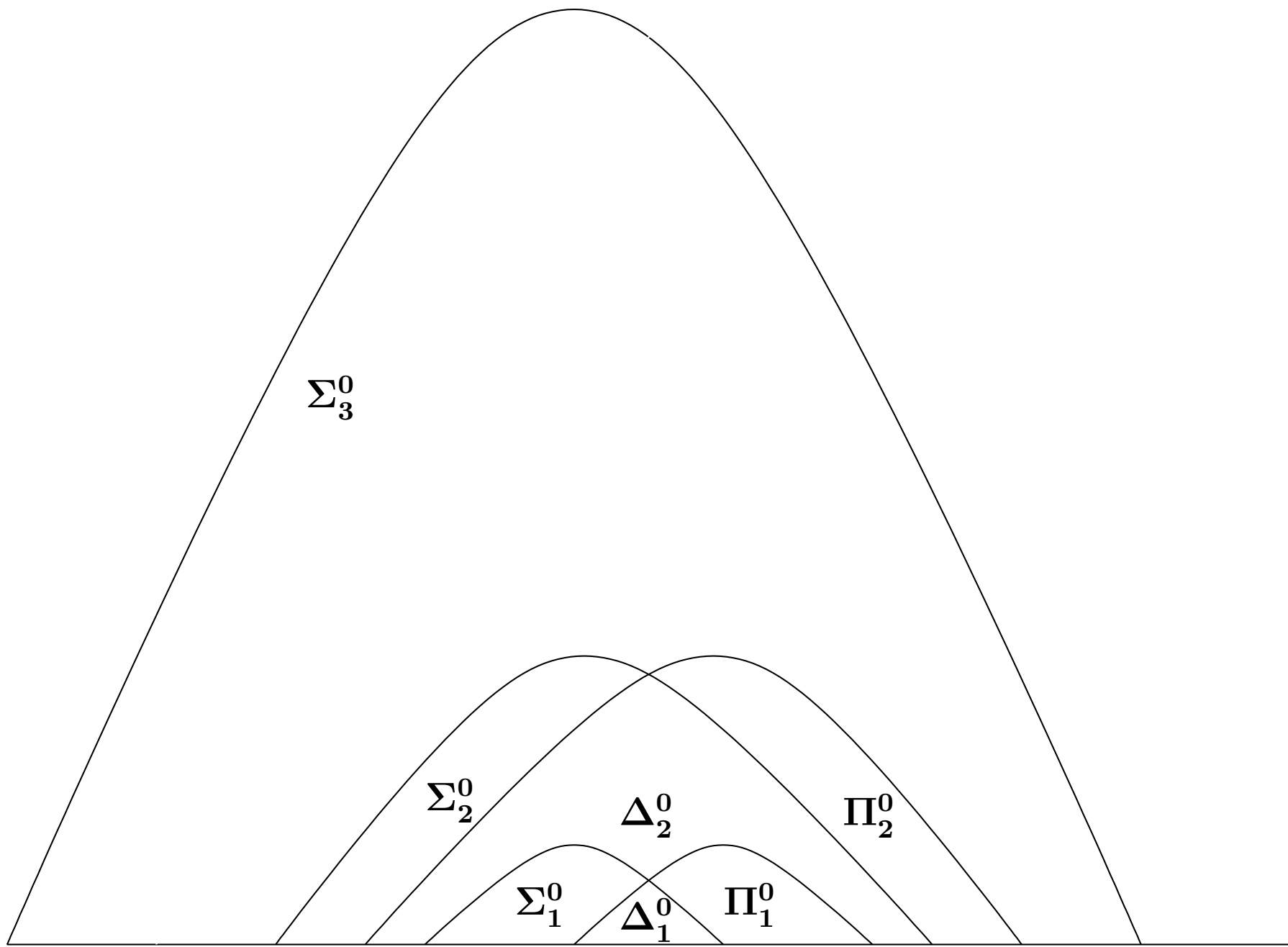


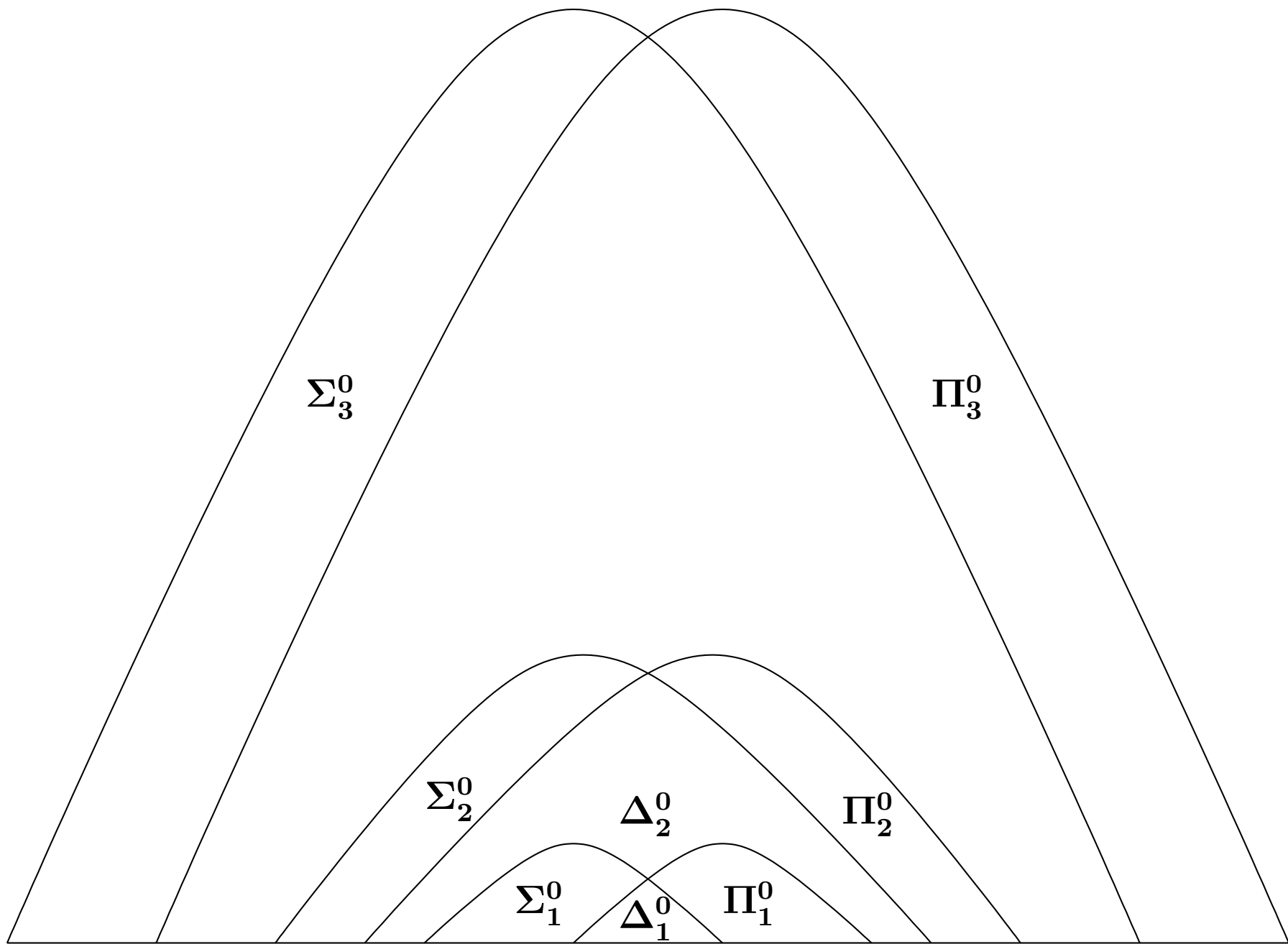


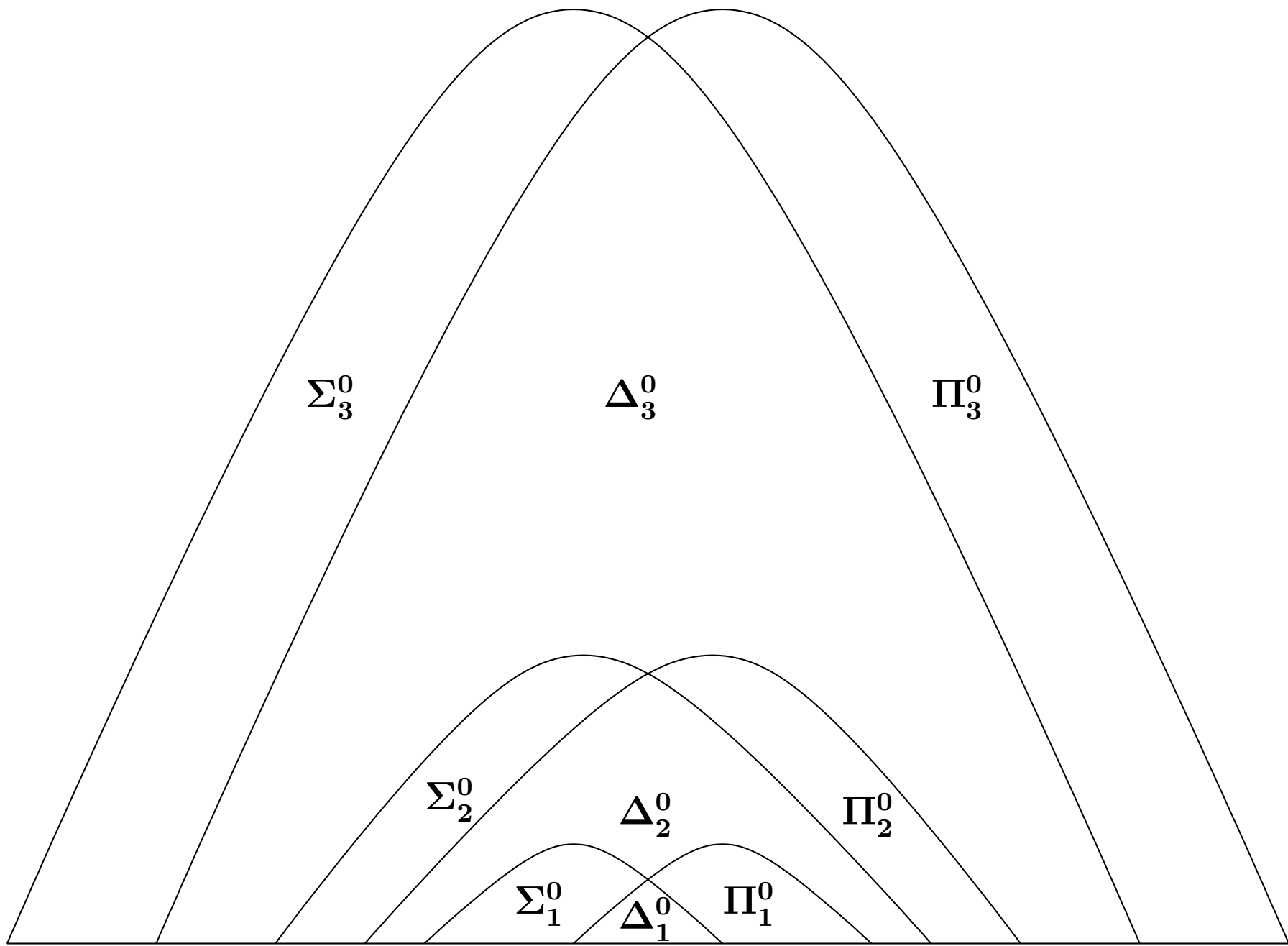


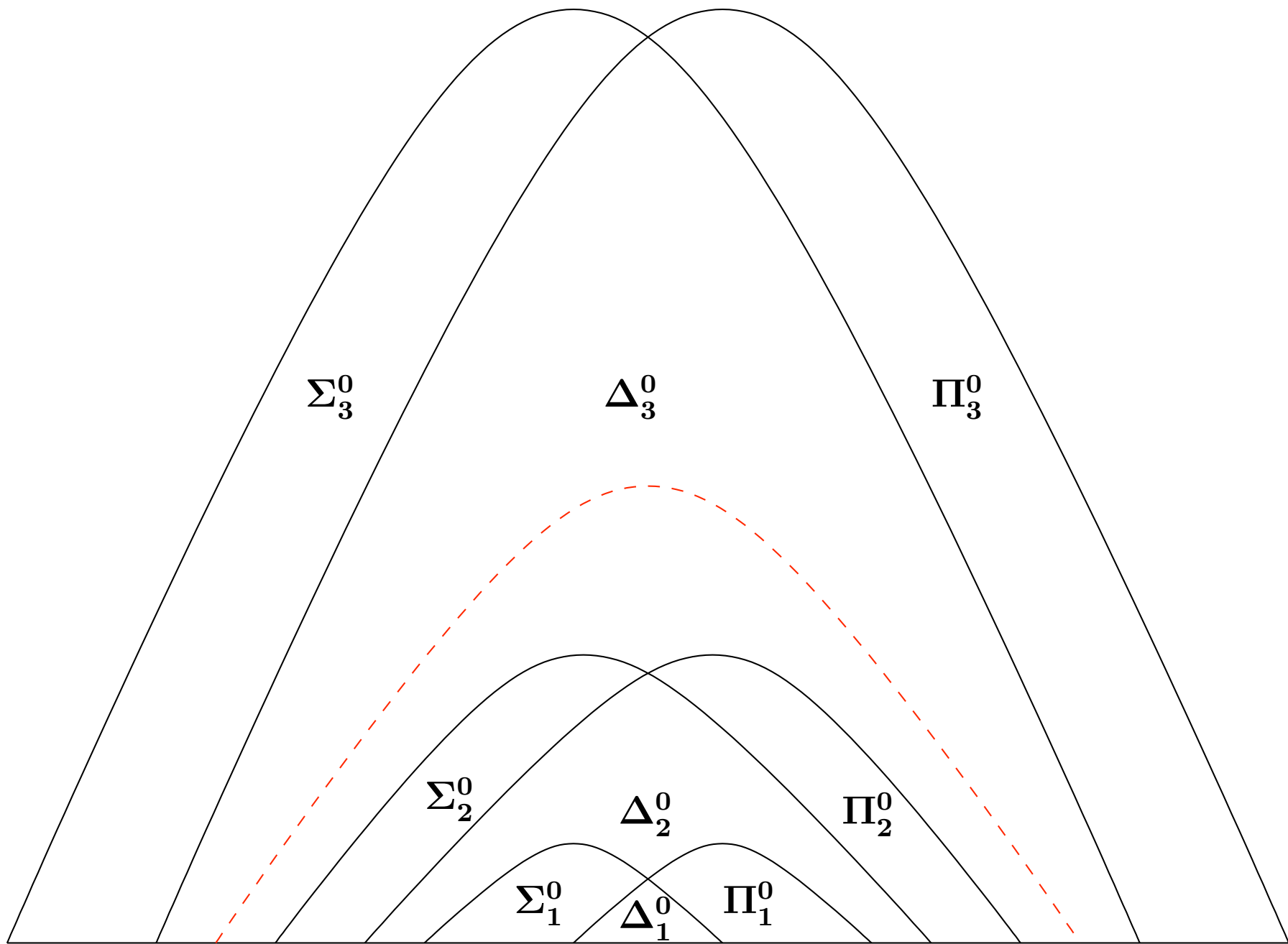




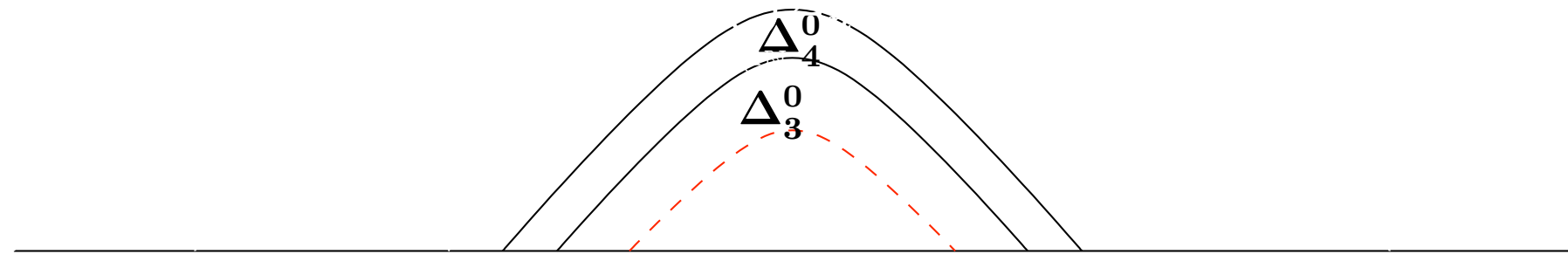


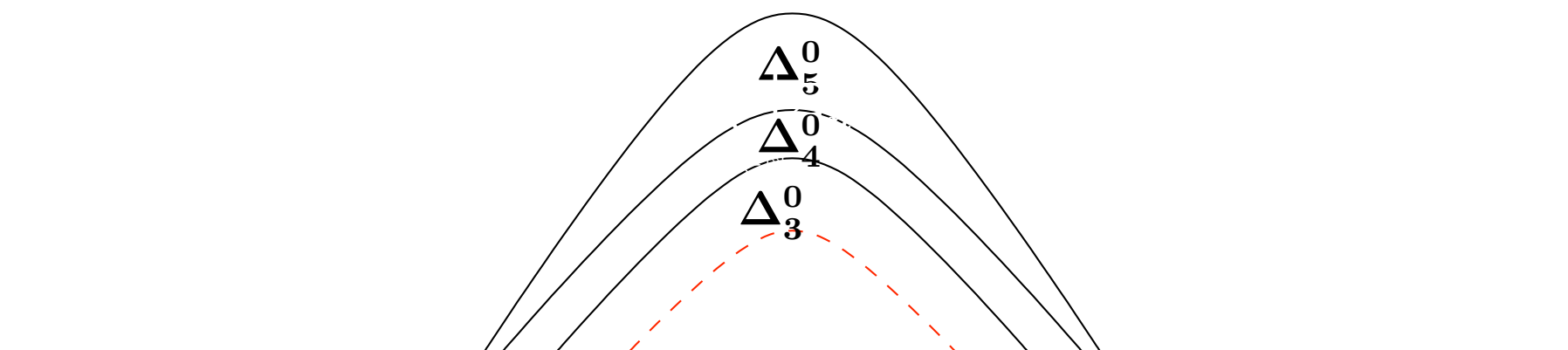






Δ_3^0





$$\bigcup_{n \in \mathbb{N}} \Delta_n^0$$

$$\Delta_5^0$$

$$\Delta_4^0$$

$$\Delta_3^0$$

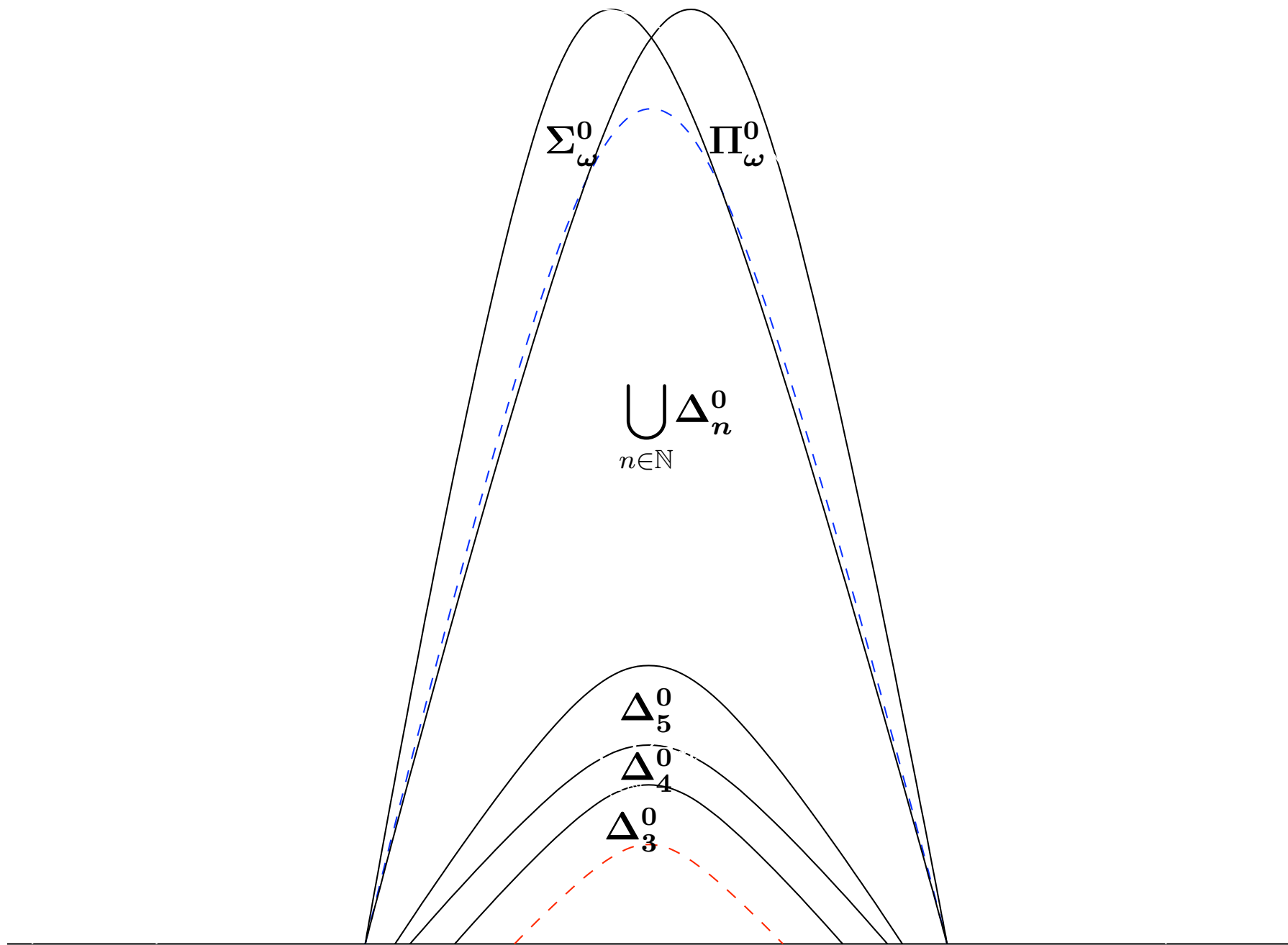
Σ_3^0

$\bigcup_{n \in \mathbb{N}} \Delta_n^0$

Δ_5^0

Δ_4^0

Δ_3^0



Σ_{ω}^0 Π_{ω}^0

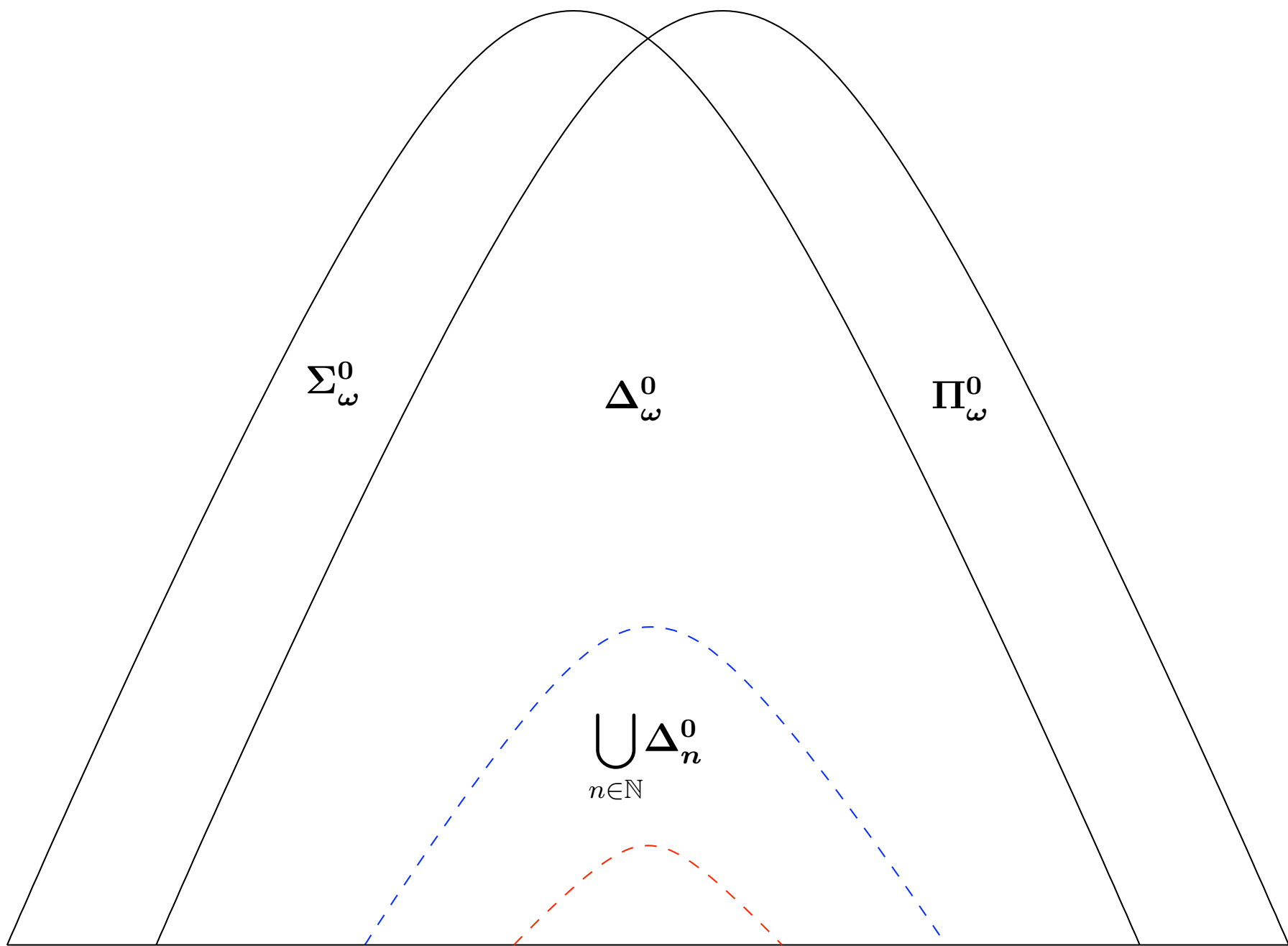
$$\Delta_{\omega}^0 = \bigcup_{n \in \mathbb{N}} \Delta_n^0$$

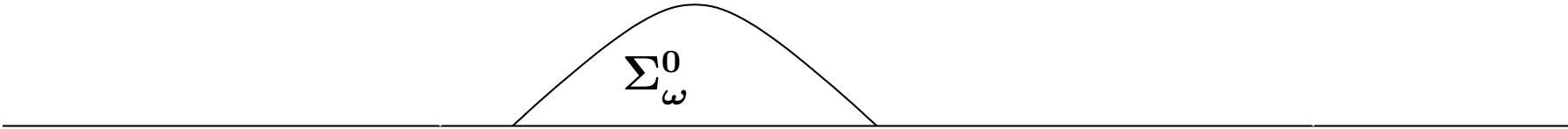
Δ_5^0

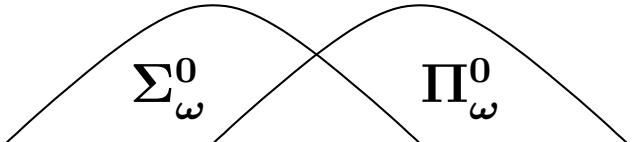
Δ_4^0

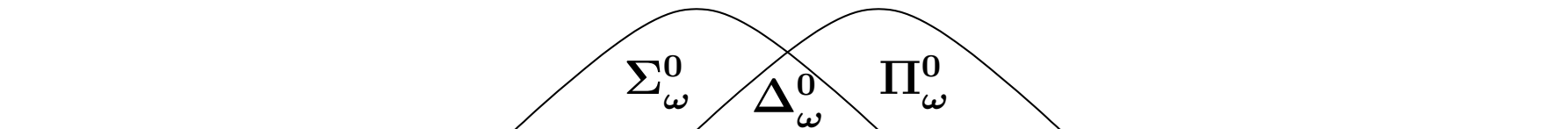
Δ_3^0

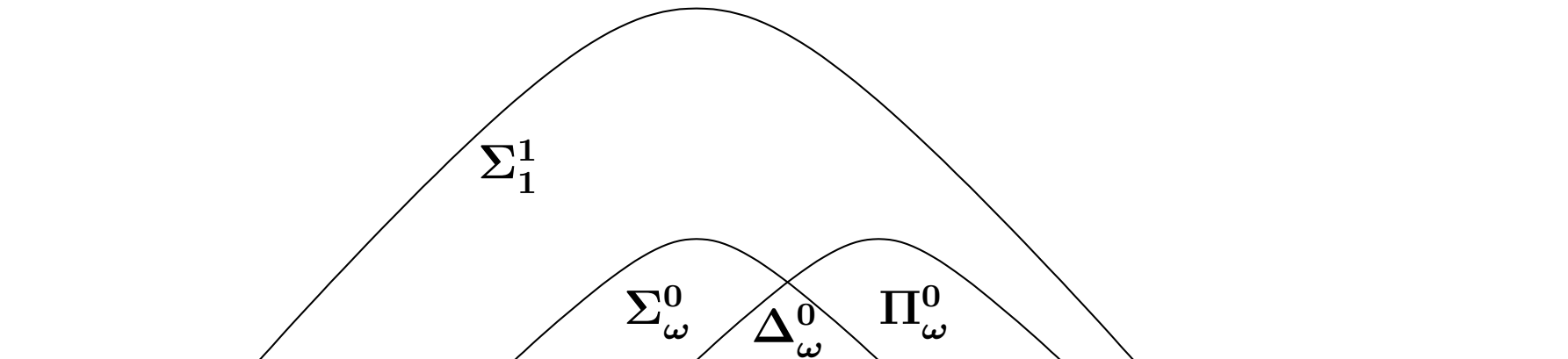


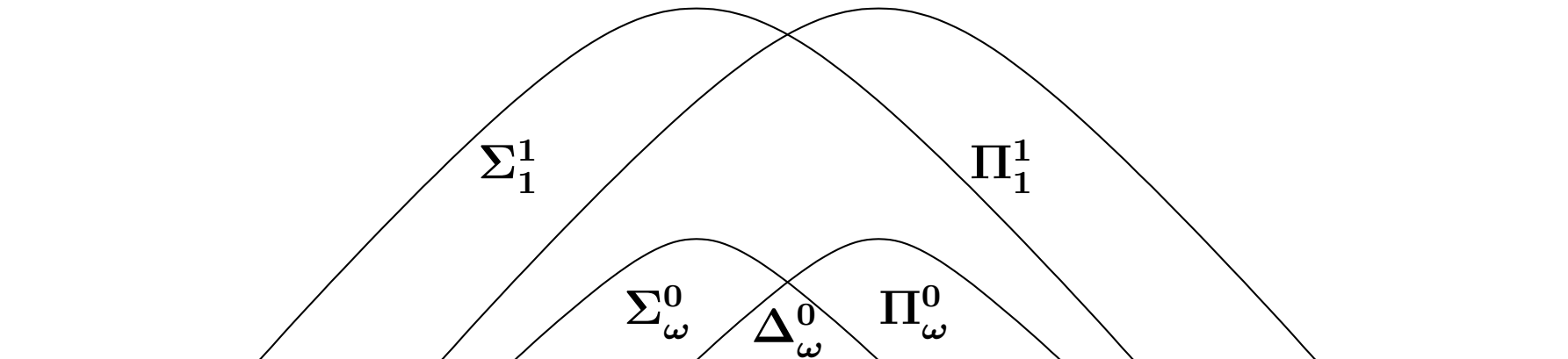


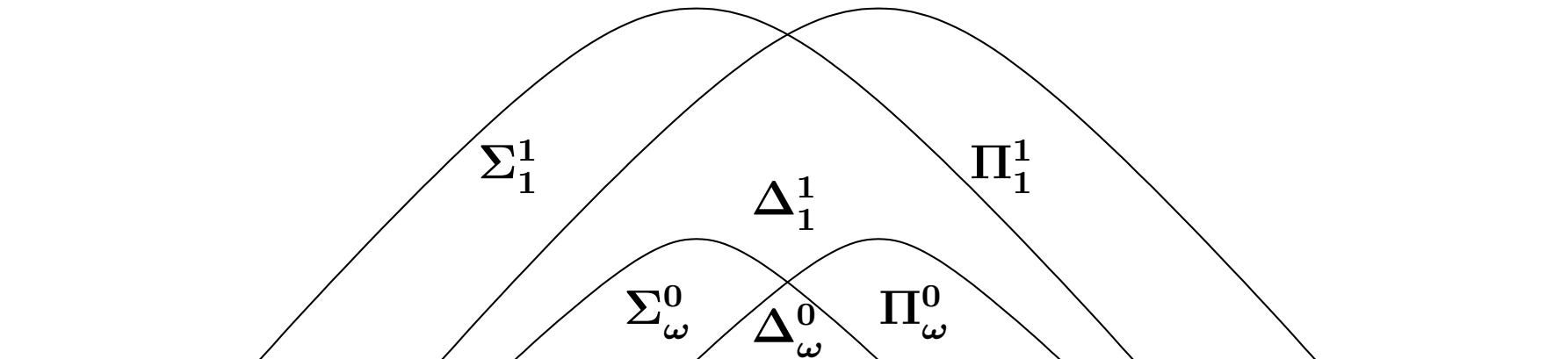


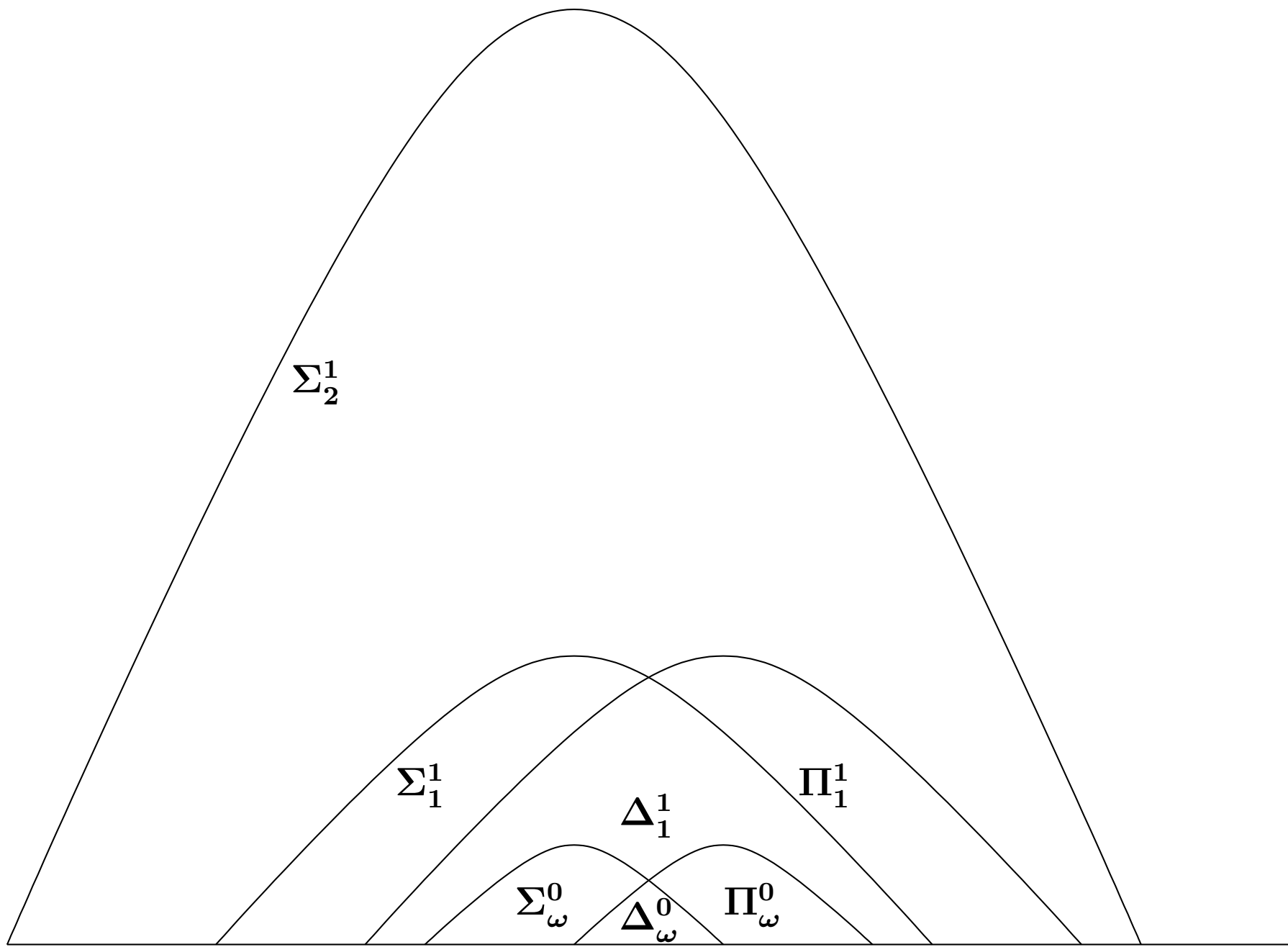


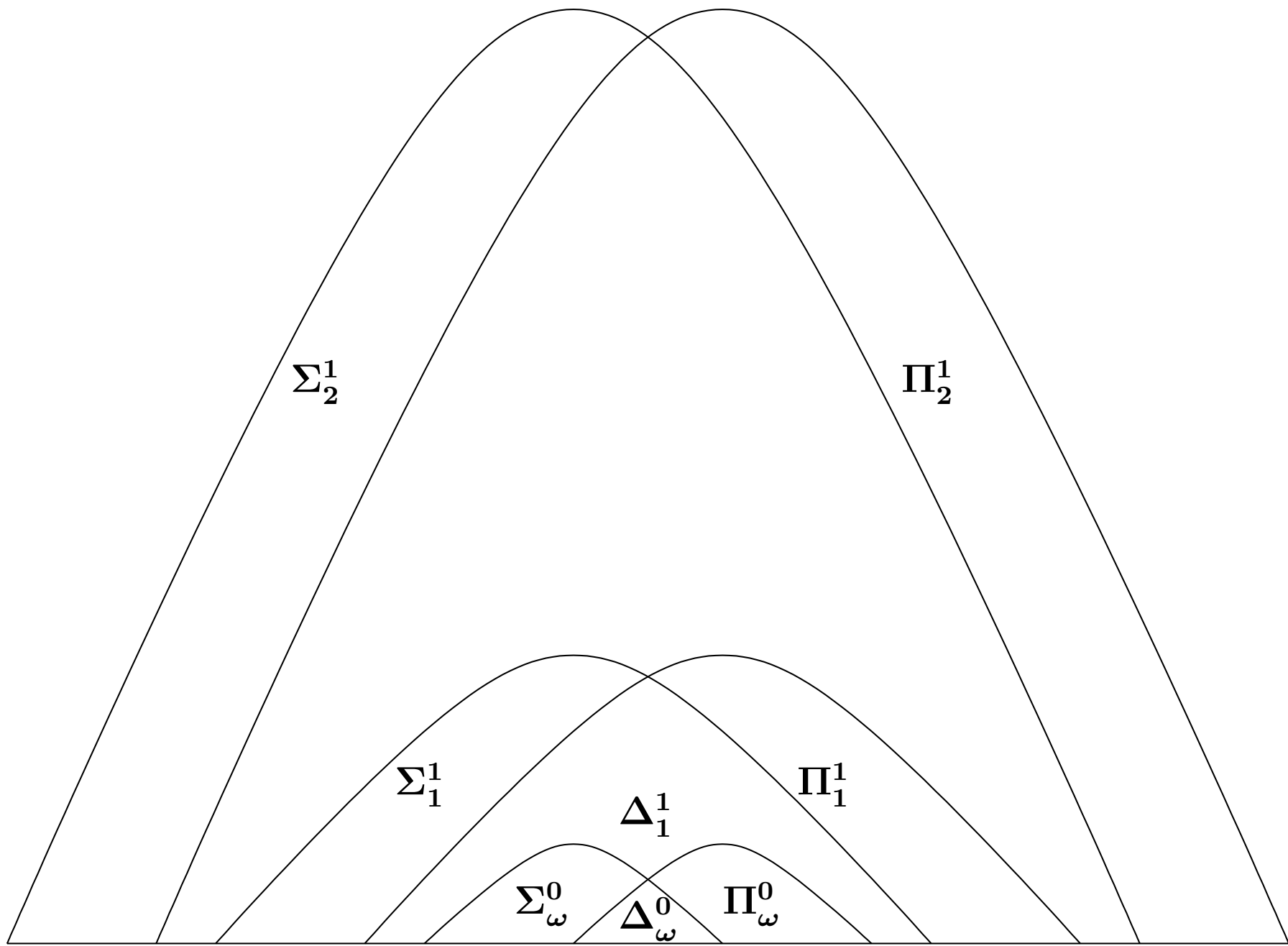


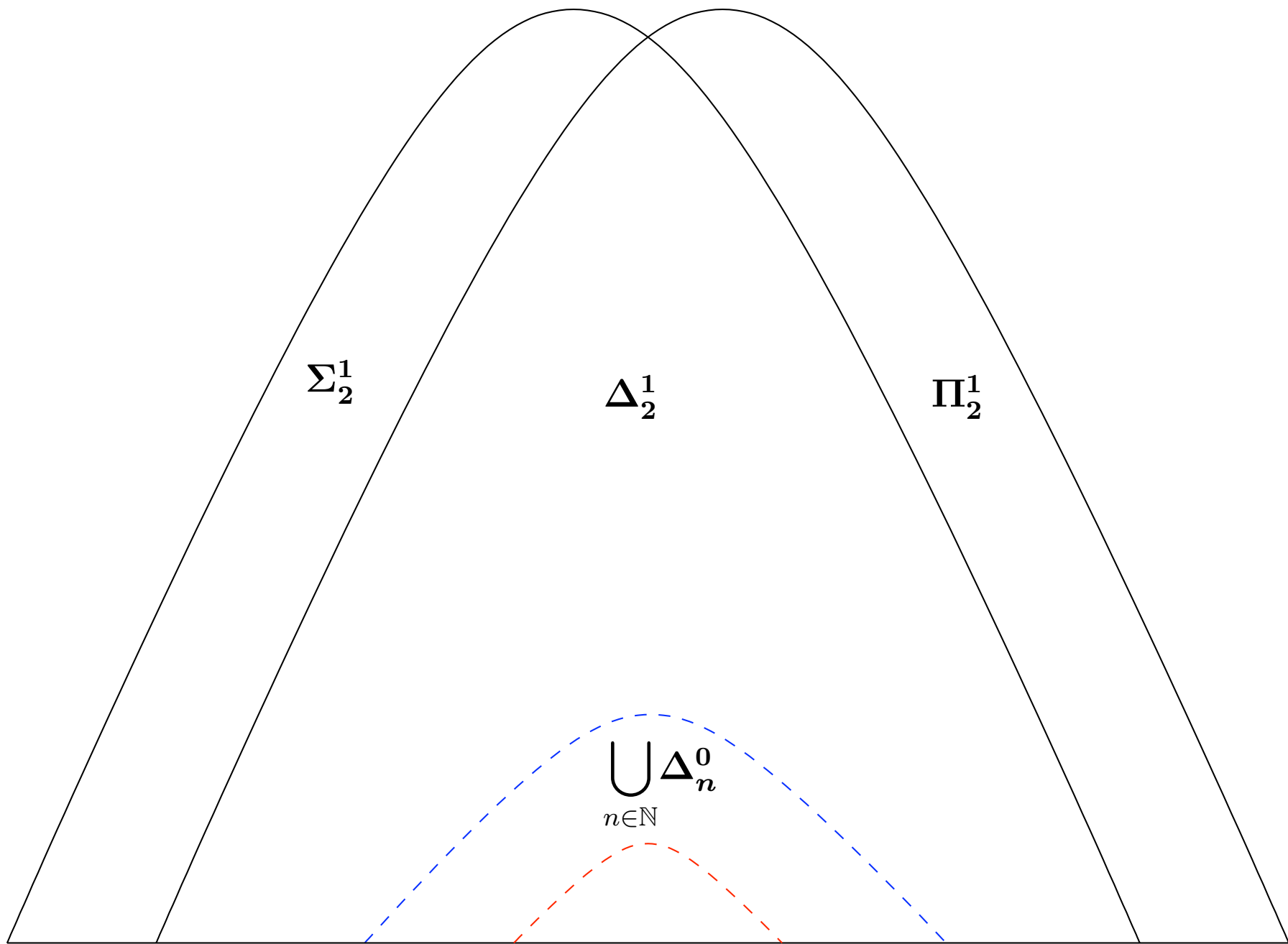








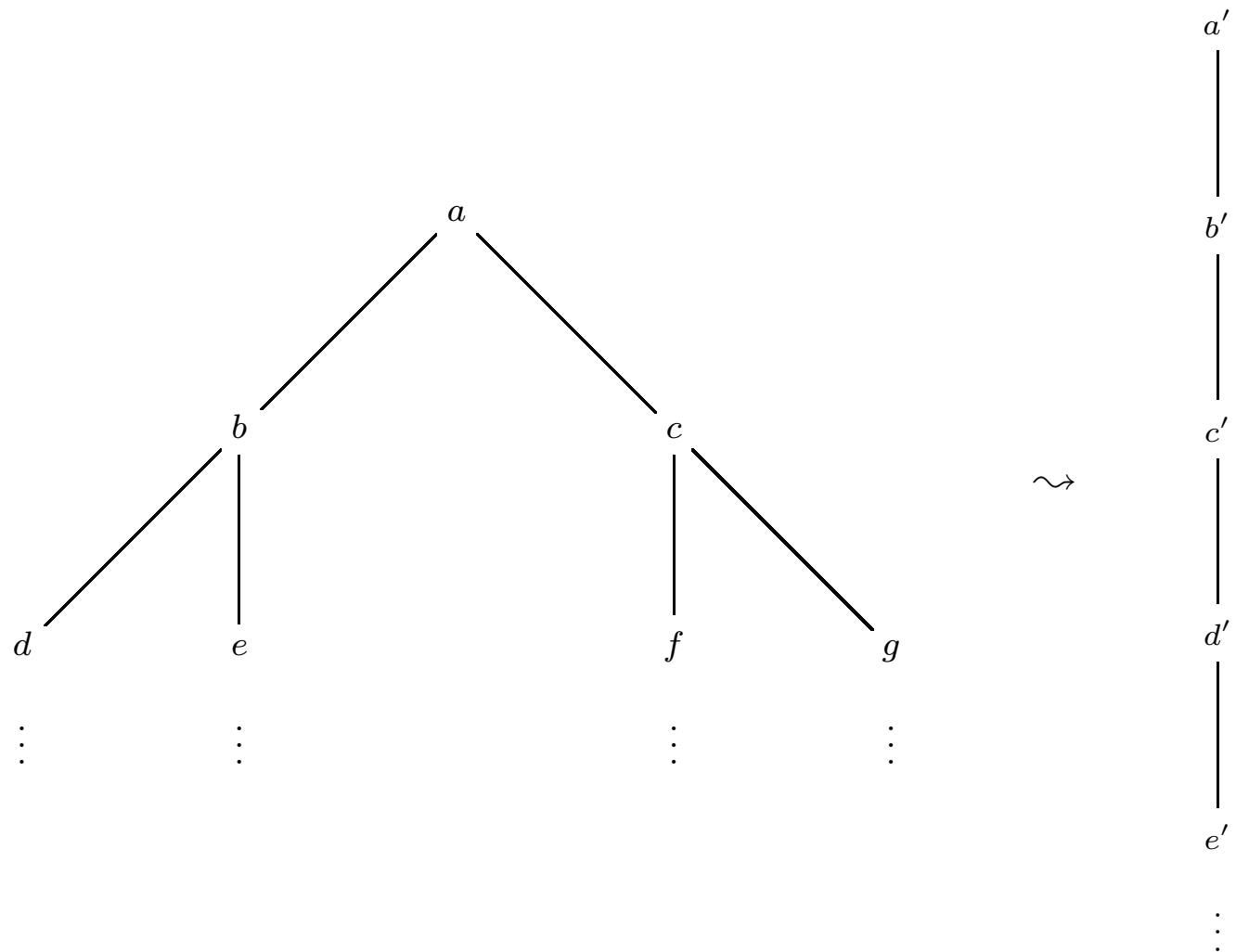




What about a hierarchy for Δ_2^1 sets?

We think μ -calculus can help...

Sets of models of μ -formulae are sets of labelled infinite trees. Hence, they can be seen as sets of reals.



- sets of trees regarded as Cantor or Baire space

Example: Σ_1^1 -complete

$$\nu x (\mu y ((p \wedge \diamond x) \vee \diamond y))$$

For every $n \geq 2$, sets of models of Walukiewicz formulae

$$\mu x_n \nu x_{n-1} \dots \mu x_1 \left((p \rightarrow \diamond \bigwedge_{1 \leq i} (r \rightarrow x_i)) \wedge (\neg p \rightarrow \square \bigwedge_{1 \leq i} (r \rightarrow x_i)) \right)$$

are in $\Delta_2^1 \setminus \Delta_1^1 \dots$

For every $n \geq 2$, sets of models of Walukiewicz formulae

$$\mu x_n \nu x_{n-1} \dots \mu x_1 \left((p \rightarrow \diamond \bigwedge_{1 \leq i} (r \rightarrow x_i)) \wedge (\neg p \rightarrow \square \bigwedge_{1 \leq i} (r \rightarrow x_i)) \right)$$

are in $\Delta_2^1 \setminus \Delta_1^1 \dots$

...but where are they located?

- This gives a hint on what a hierarchy of $\Delta_2^1 \setminus \Delta_1^1$ sets might be.
- There exists already a hierarchy of $\Delta_2^1 \setminus \Delta_1^1$ (under determinacy). It has not been described though.

Wadge game $G(L, M)$

Spoiler

s

t

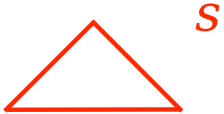
Duplicator

Duplicator may skip his turn, but not forever.

Duplicator wins iff $t \in L \iff s \in M$.

Wadge game $G(L, M)$

Spoiler



t

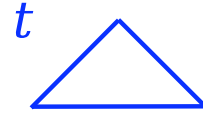
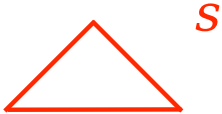
Duplicator

Duplicator may skip his turn, but not forever.

Duplicator wins iff $t \in L \iff s \in M$.

Wadge game $G(L, M)$

Spoiler



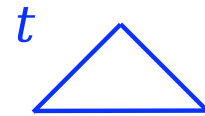
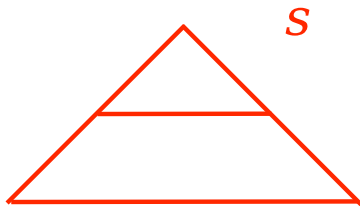
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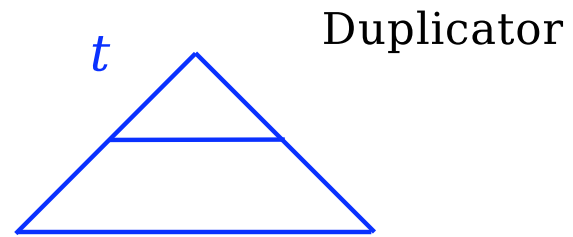
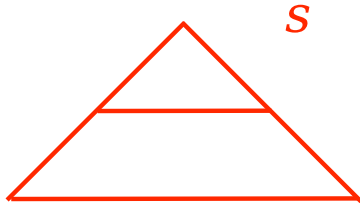
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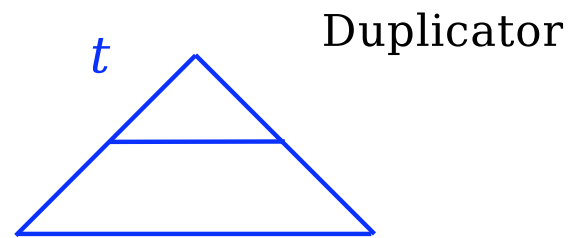
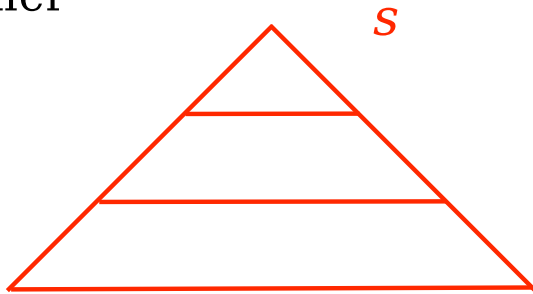


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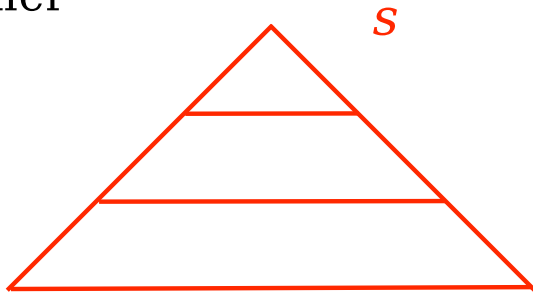


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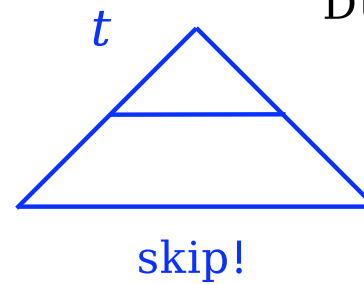
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Wadge game $G(L, M)$

Spoiler



Duplicator

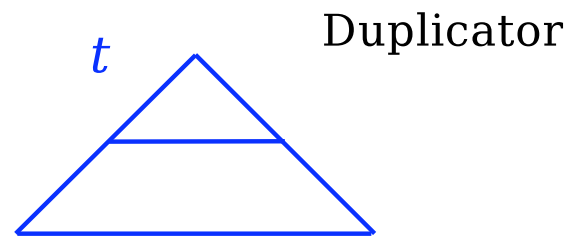
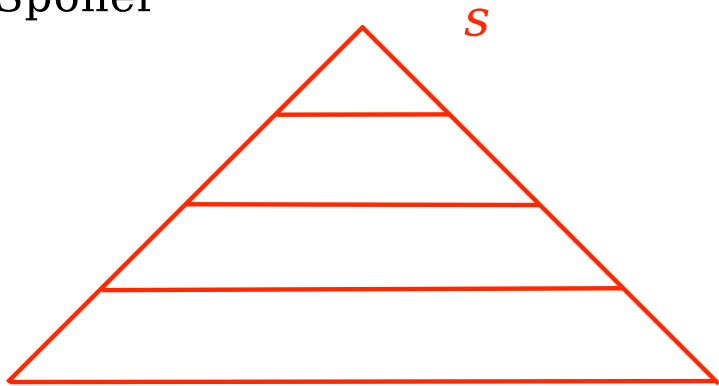


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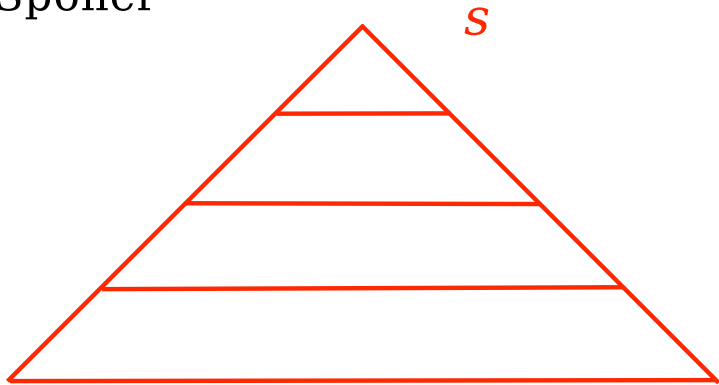


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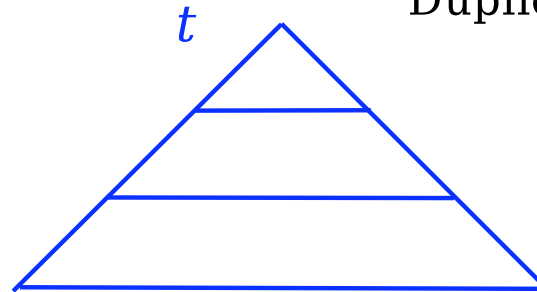
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Wadge game $G(L, M)$

Spoiler



Duplicator

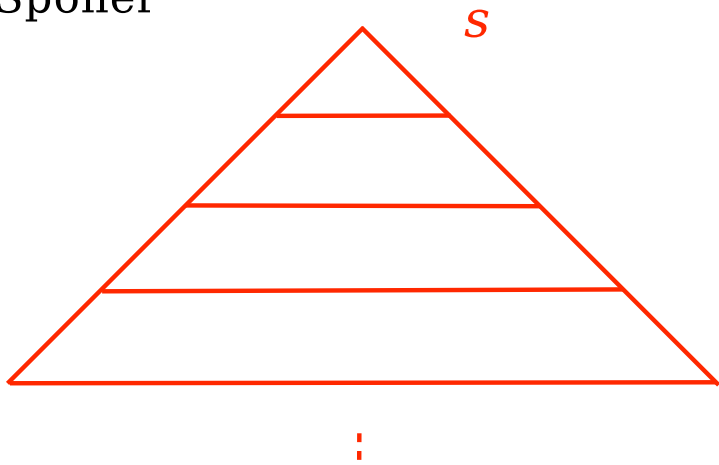


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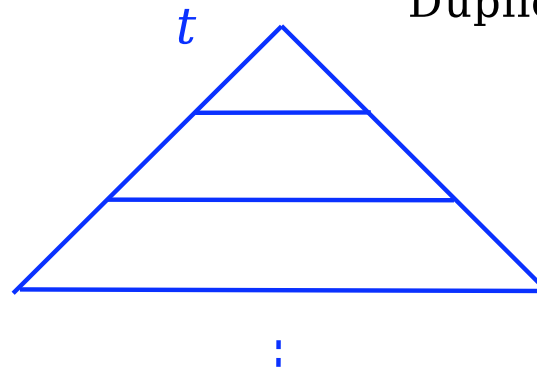
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Spoiler



Duplicator

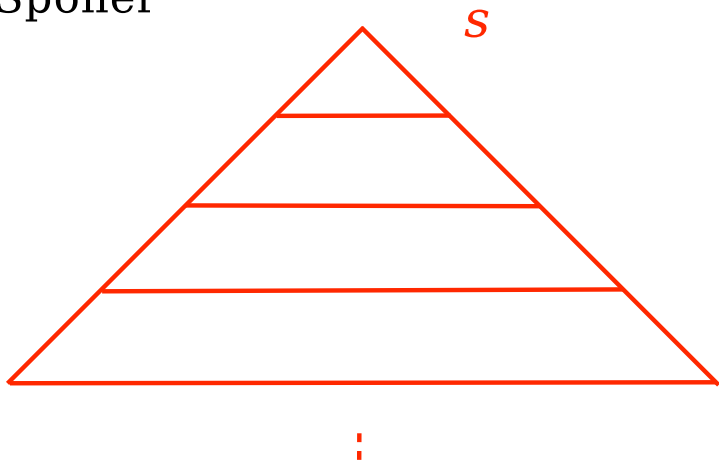


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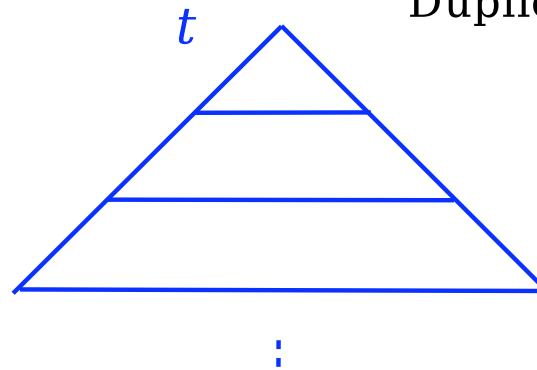
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Wadge game $G(L, M)$

Spoiler



Duplicator



Duplicator may skip his turn, but not forever.

Duplicator wins iff $t \in L \iff s \in M$.

$L \leq M$ iff Duplicator has a winning strategy in $G(L, M)$

Wadge game $G(L, M)$

$L \leq M$ iff Duplicator has a winning strategy in $G(L, M)$

$L \leq M$ iff there exists a continuous f s.t. $L = f^{-1}M$

Wadge hierarchy

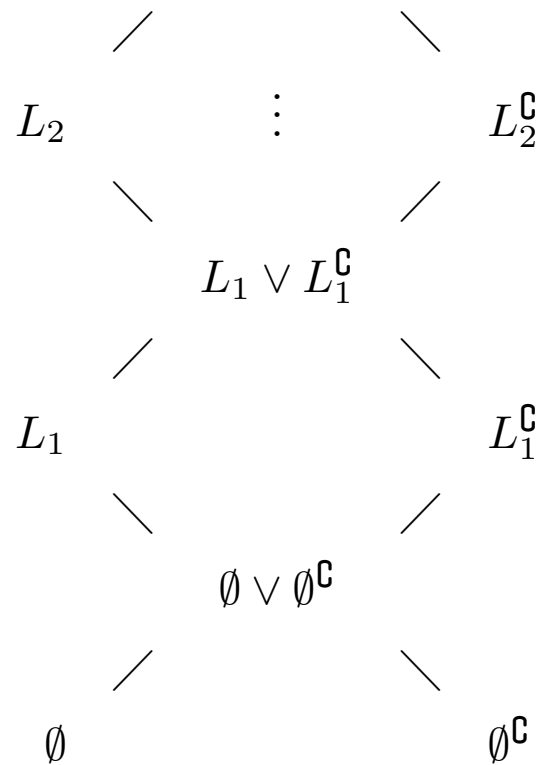
$L \leq M$ iff Duplicator has a winning strategy in $G(L, M)$

Under determinacy:

- \leq has antichains of length at most 2
- \leq has no infinite descending chain:

$$L_0 > L_1 > L_2 > \dots L_n > L_{n+1} > \dots$$

Wadge hierarchy



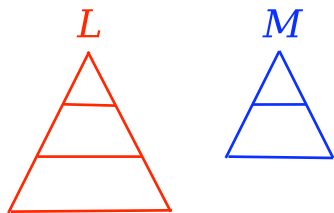
Wadge degree

- $\text{degree}(\emptyset)=1$
- $\text{degree}(L)=\sup\{\text{degree}(M) : M < L\}$

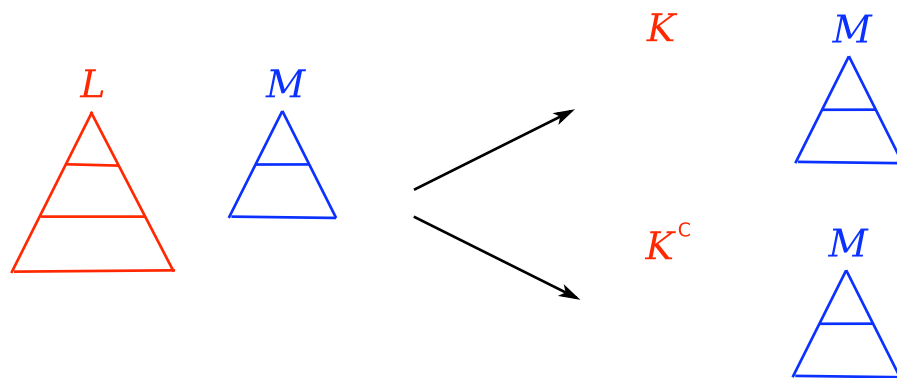
First results

- Measure of strength: height of hierarchy for $\Delta_2^{\mathbf{K}}$;
- part of this work derives from previous works on weak alternating tree automata, with Filip Murlak

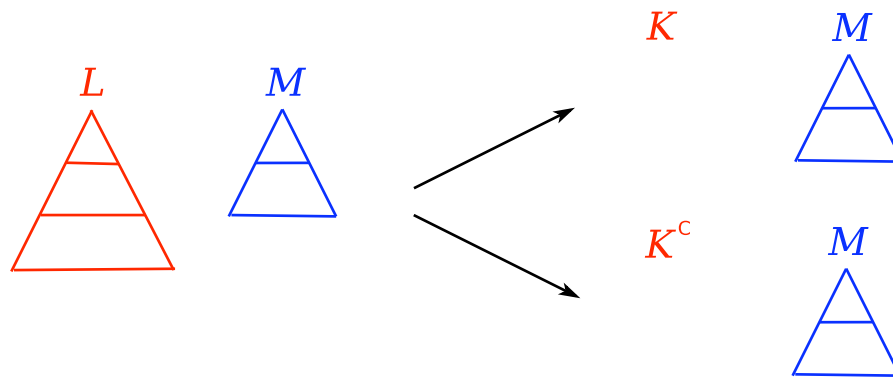
Addition



Addition

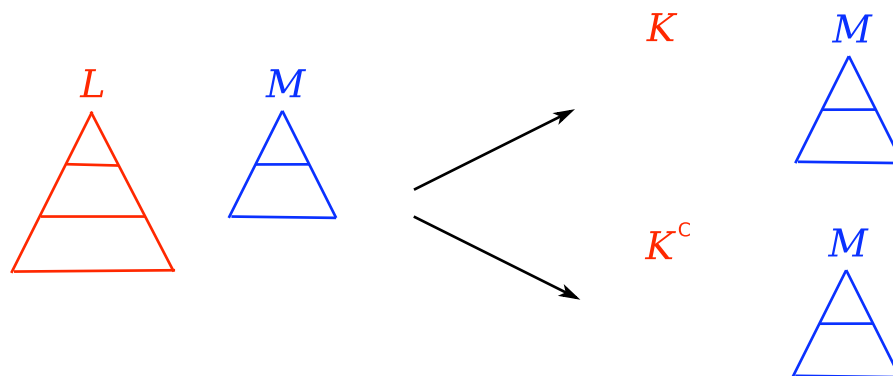


Addition



$L + K = L$ with the possibility to switch to K or K^c

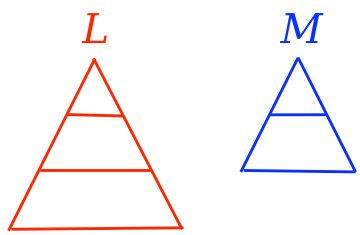
Addition



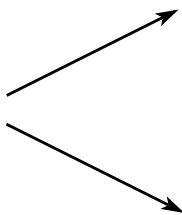
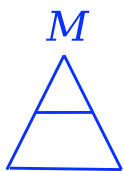
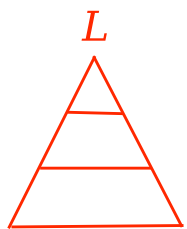
$L + K = L$ with the possibility to switch to K or K^c

$$L, K \in \Delta_2^K \implies L + K \in \Delta_2^K$$

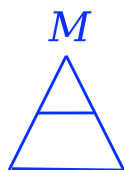
Multiplication



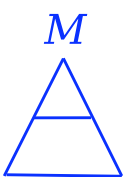
Multiplication



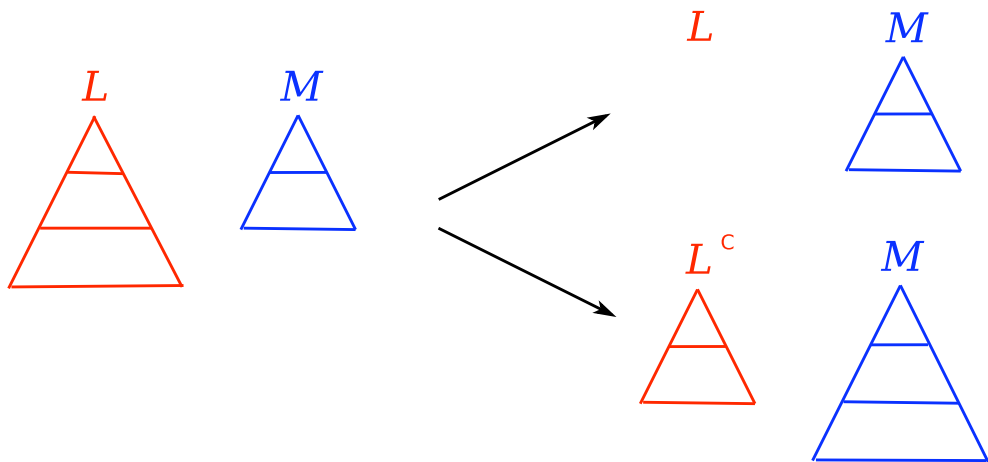
L



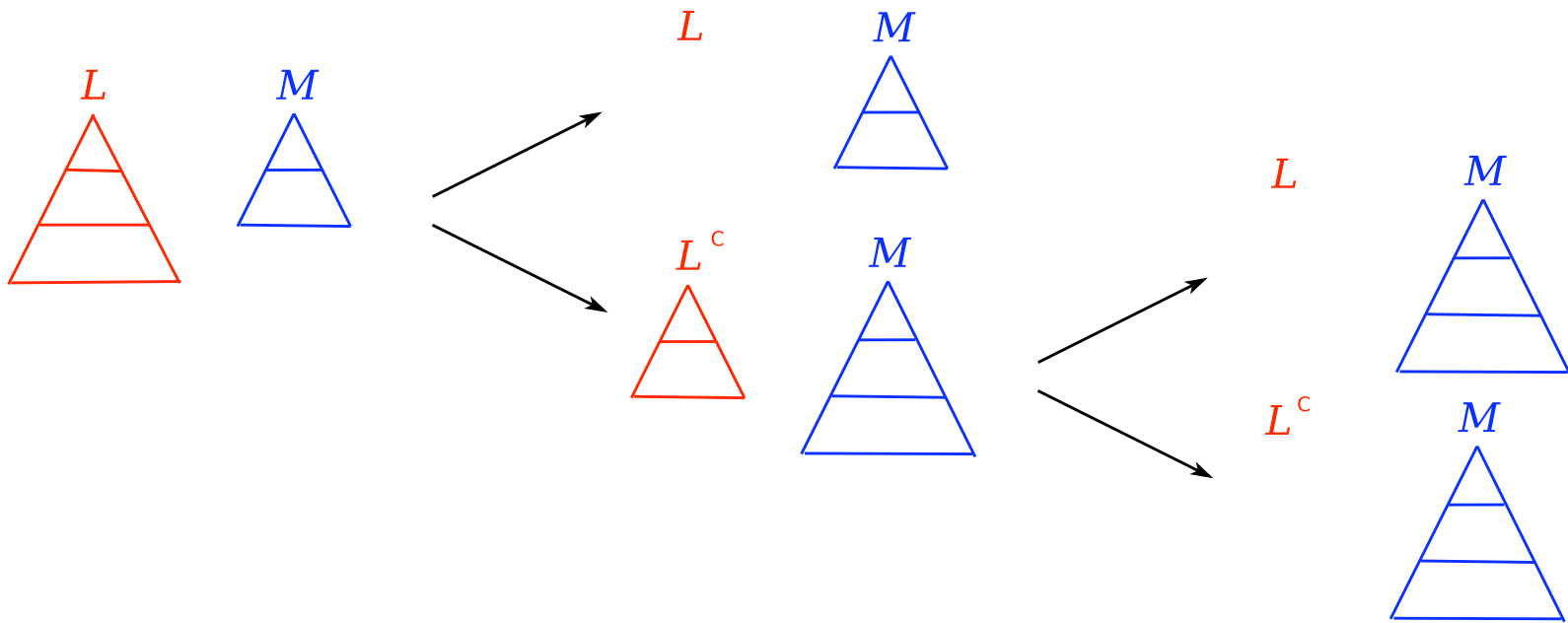
L^c



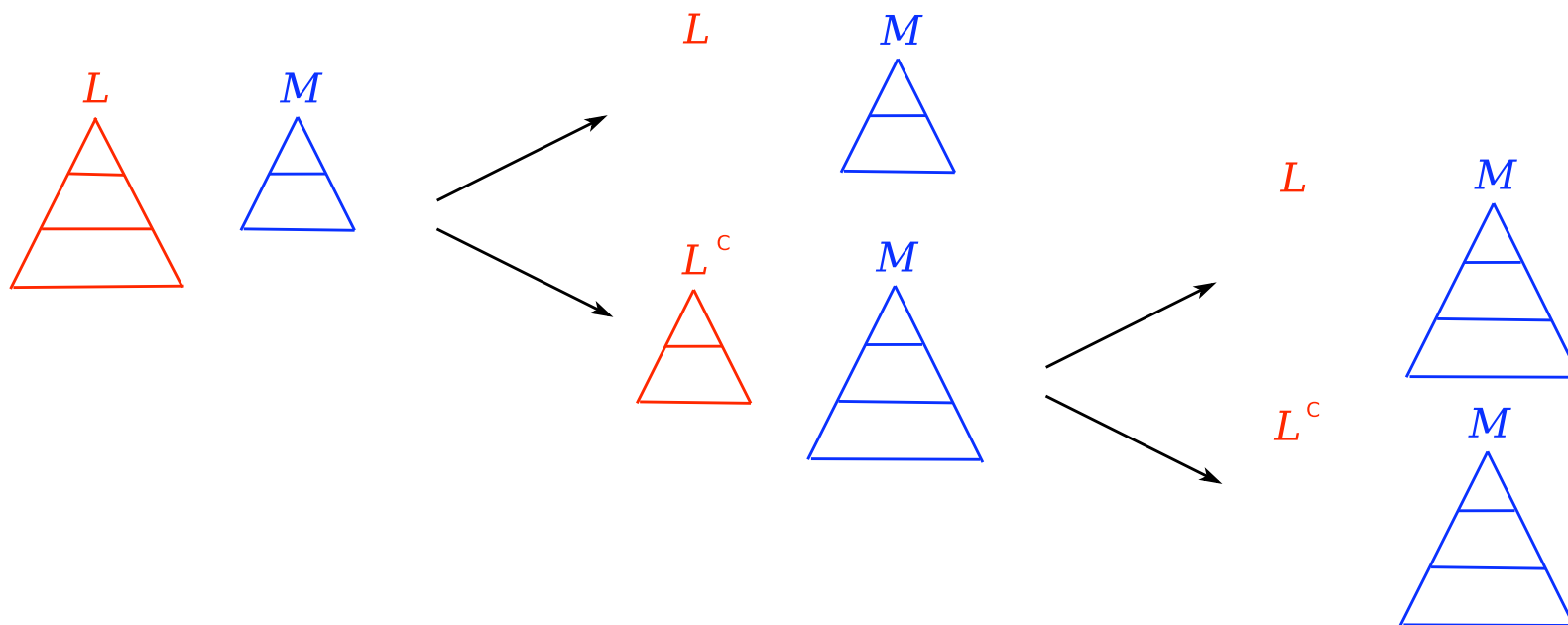
Multiplication



Multiplication

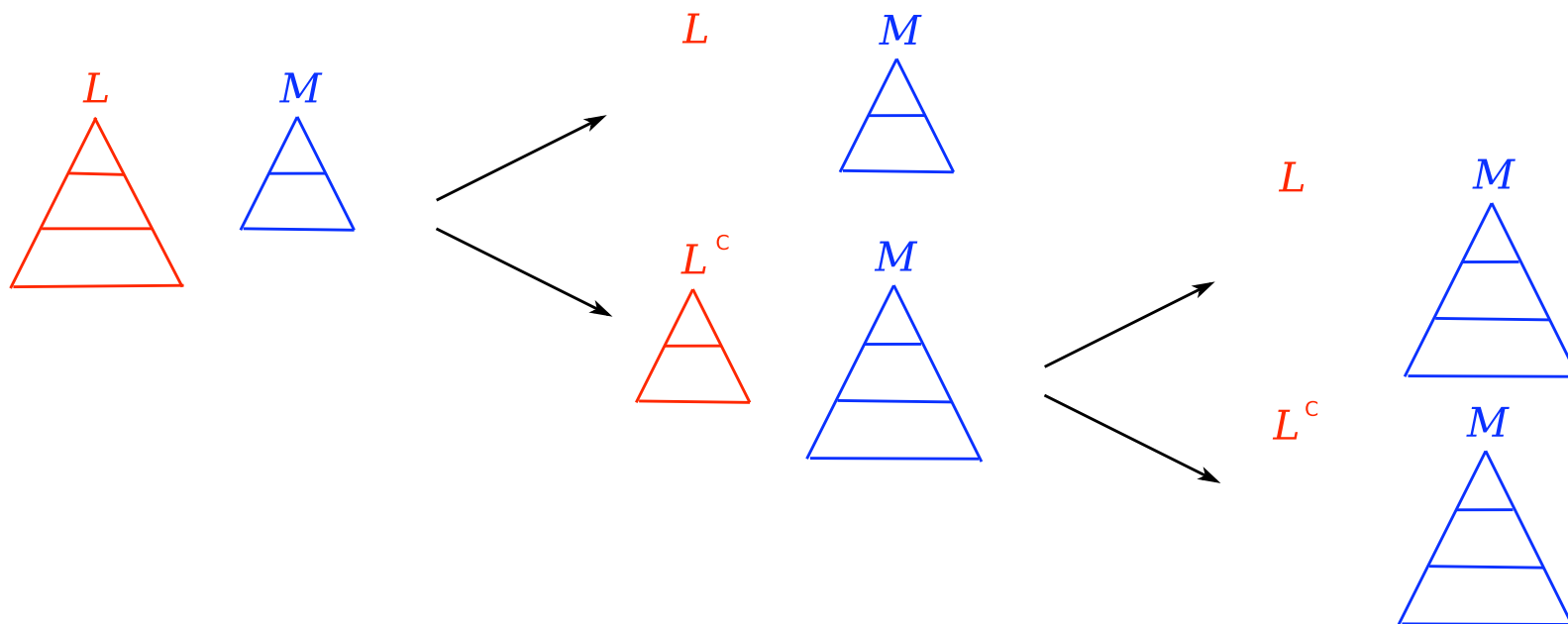


Multiplication



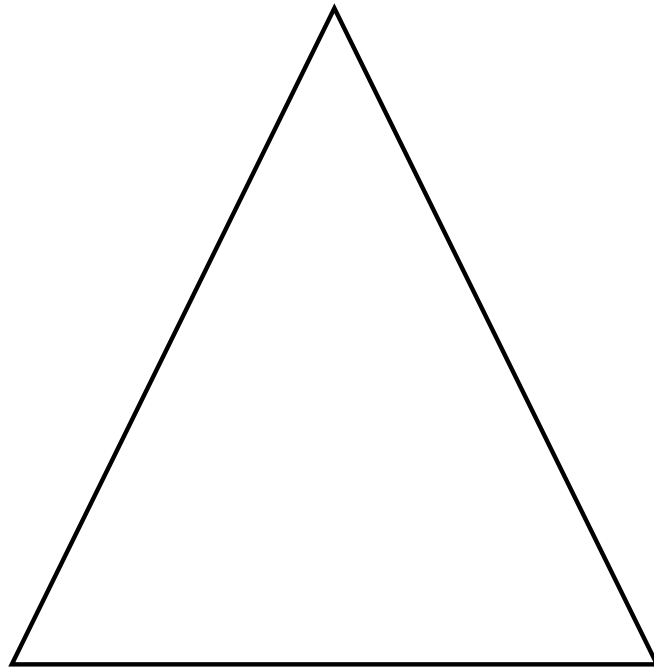
$$L \in \Delta_2^K \implies L \cdot k \in \Delta_2^K \text{ for each } k$$

Multiplication

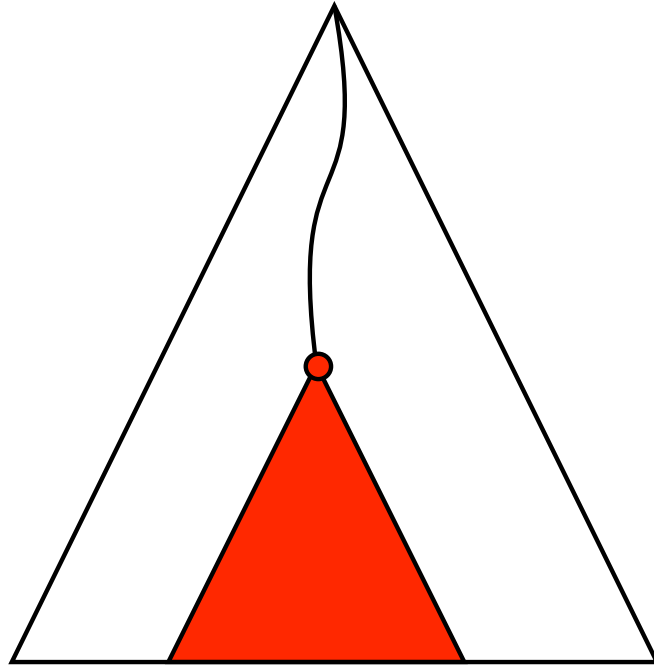


$$L \in \Delta_2^{\mathbf{K}} \implies L \cdot k \in \Delta_2^{\mathbf{K}} \text{ for each } k \quad L \in \Delta_2^{\mathbf{K}} \implies L \cdot \omega \in \Delta_2^{\mathbf{K}}$$

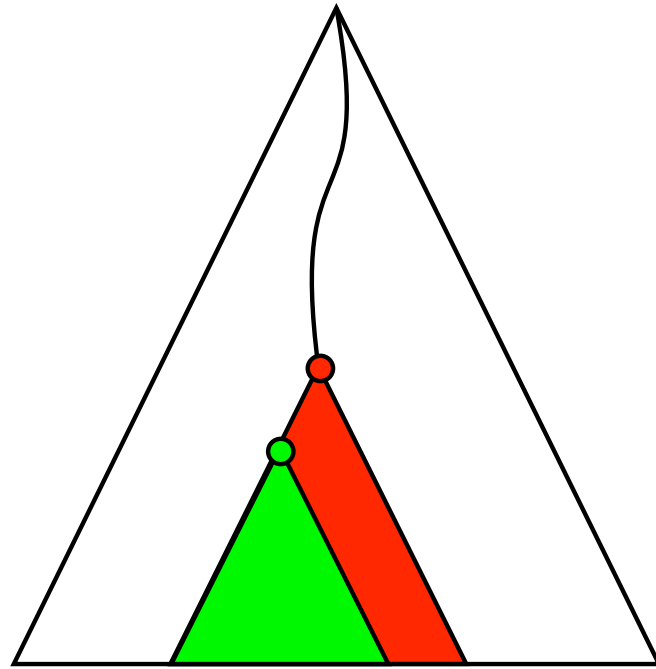
Exponentiation



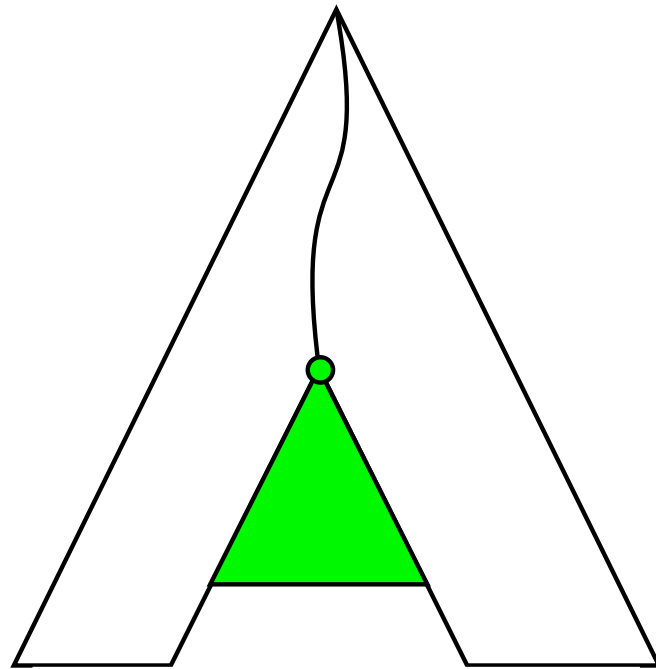
Exponentiation



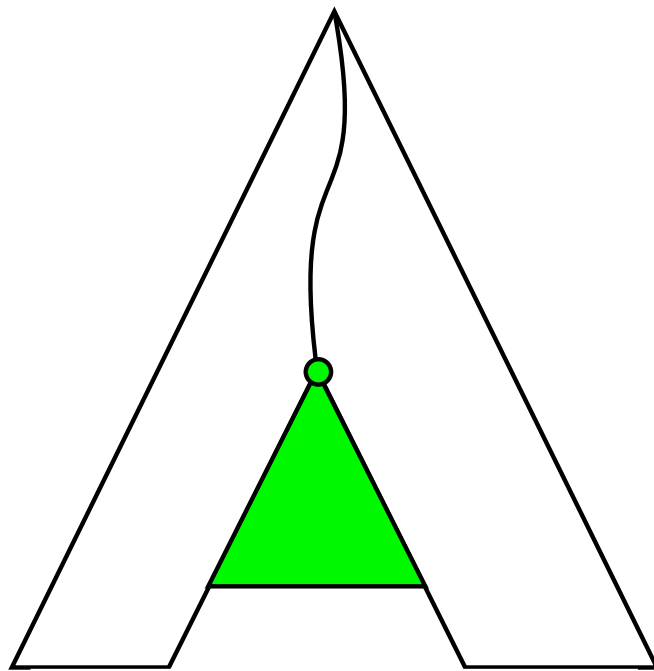
Exponentiation



Exponentiation



Exponentiation



$$L \in \Delta_2^{\mathbf{K}} \implies \Omega^L \in \Delta_2^{\mathbf{K}}$$

$\Delta_2^{\mathbf{K}}$ sets

The hierarchy of $\Delta_2^{\mathbf{K}}$ sets is closed under

addition $\alpha, \beta \rightsquigarrow \alpha + \beta$,

multiplication $\alpha \rightsquigarrow \alpha \cdot \omega$,

exponentiation $\alpha \rightsquigarrow \omega_1^\alpha$,

and hence has the height at least $\varepsilon_0 = \mu x.\omega^x = \omega^{\omega^{\omega^{\dots}}}$.

$\Delta_2^{\mathbf{K}}$ sets

The hierarchy of $\Delta_2^{\mathbf{K}}$ sets is closed under

addition

multiplication $< \omega^\omega$,

exponentiation of base ω_1 ,

$$\bigcup_{n \in \mathbb{N}} \Sigma_n^0$$

The hierarchy of $\bigcup_{n \in \mathbb{N}} \Sigma_n^0$ is closed under

addition

multiplication $< \omega_1$,

exponentiation of base ω_1 ,

$$\Delta_2^K \subset \bigcup_{n \in \mathbb{N}} \Sigma_n^0$$

Δ_2^K sets

Conjecture: The height **is** ε_0

Δ_2^K sets

We know that there is no Δ_2^K set between levels ω^ω and ω_1 .

Future Work

Prove conjecture right

Decidability for Δ_2^K

Generate the whole hierarchy

Decidability

Prove strong relations between Wadge degrees and fad

Δ_2^1 Wadge hierarchy