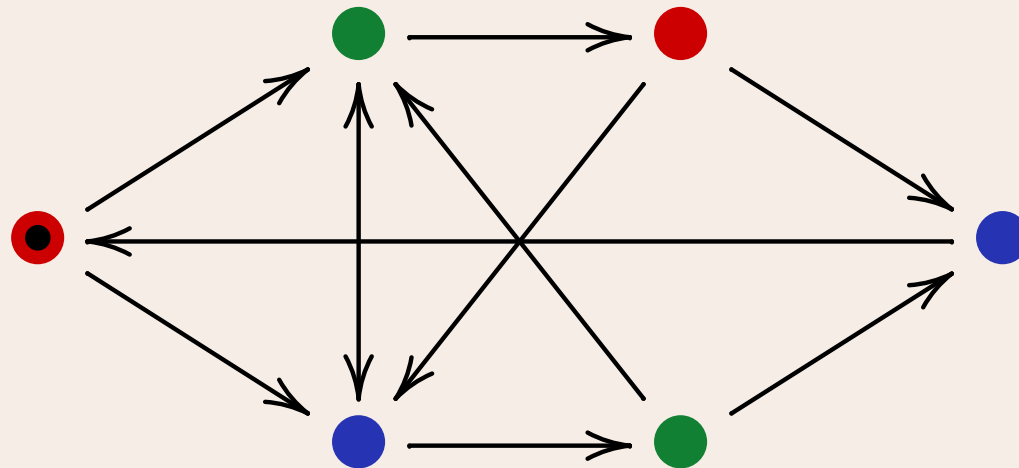


# Banach-Mazur Games on Graphs

Erich Grädel

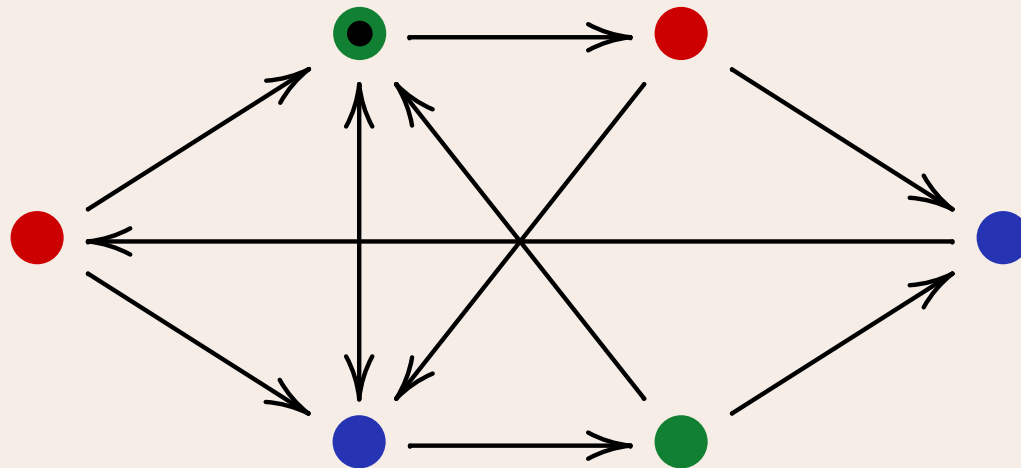
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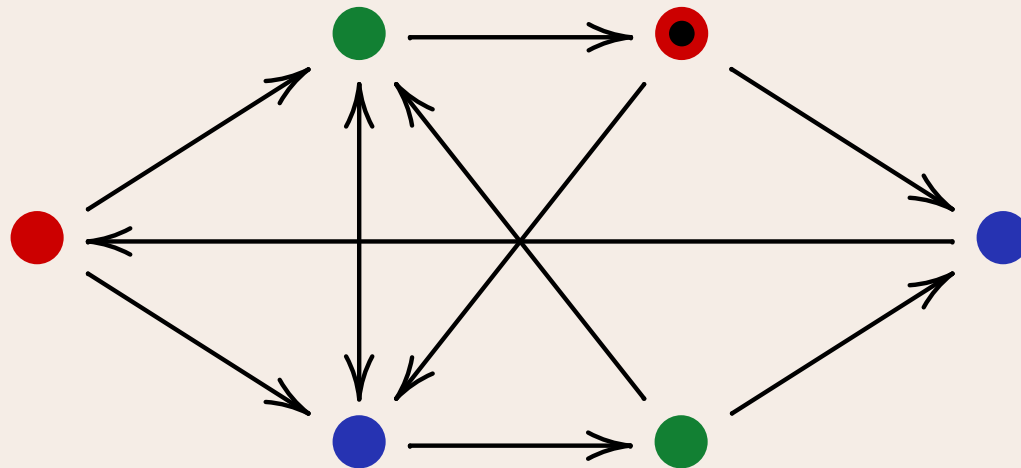
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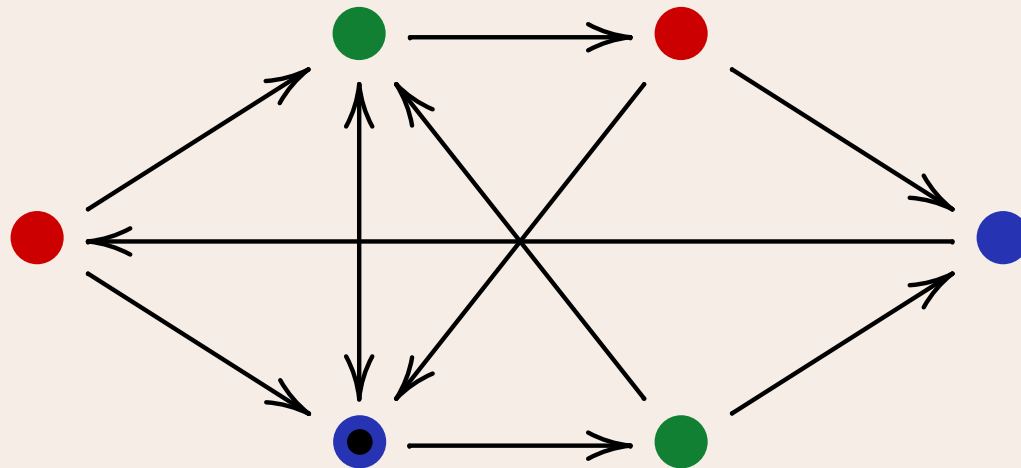
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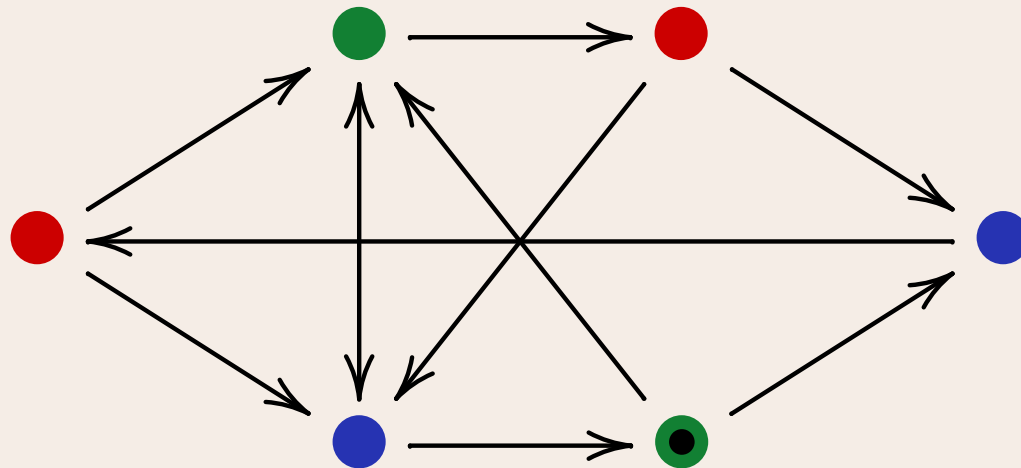
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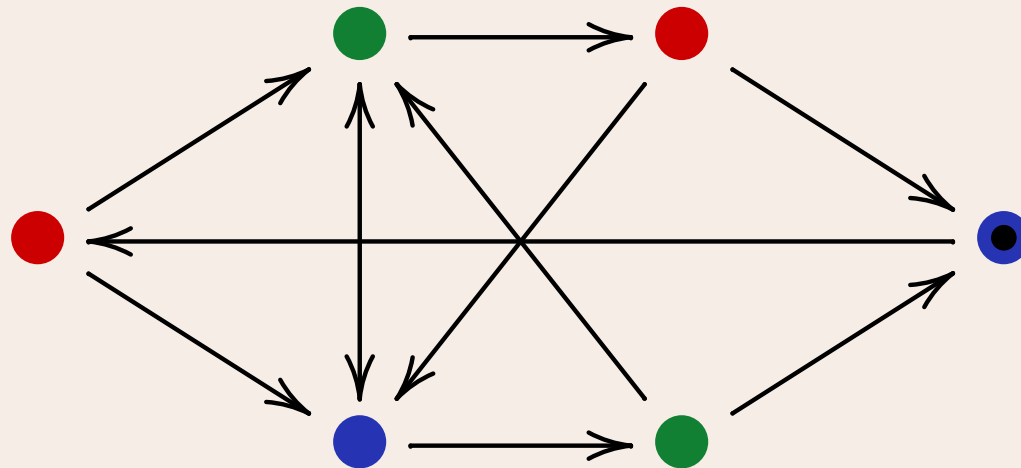
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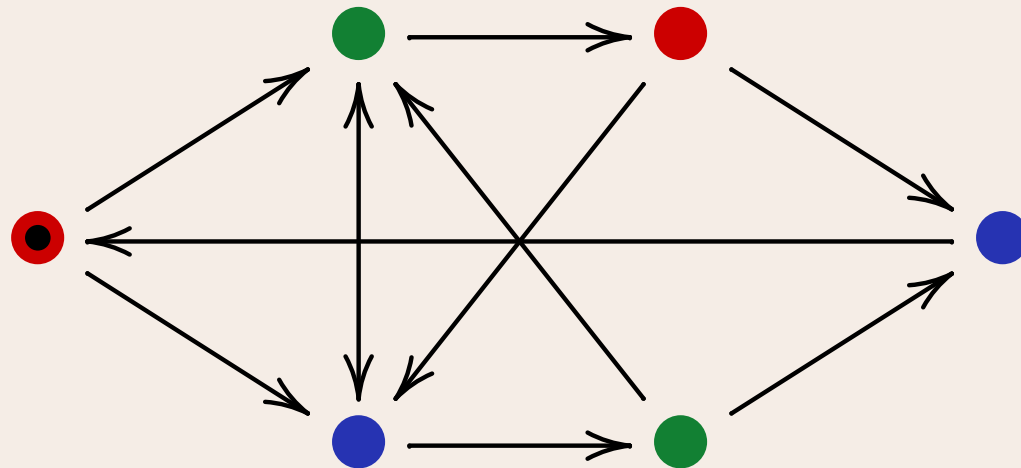
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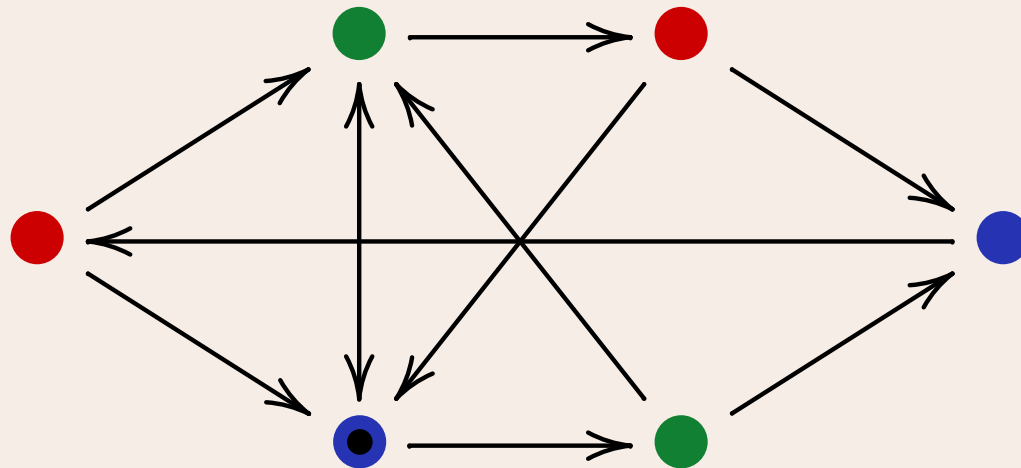
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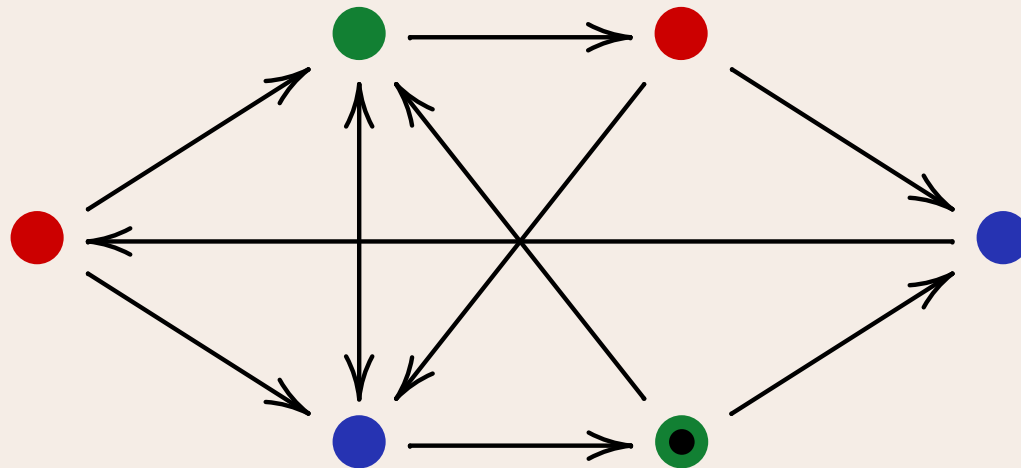
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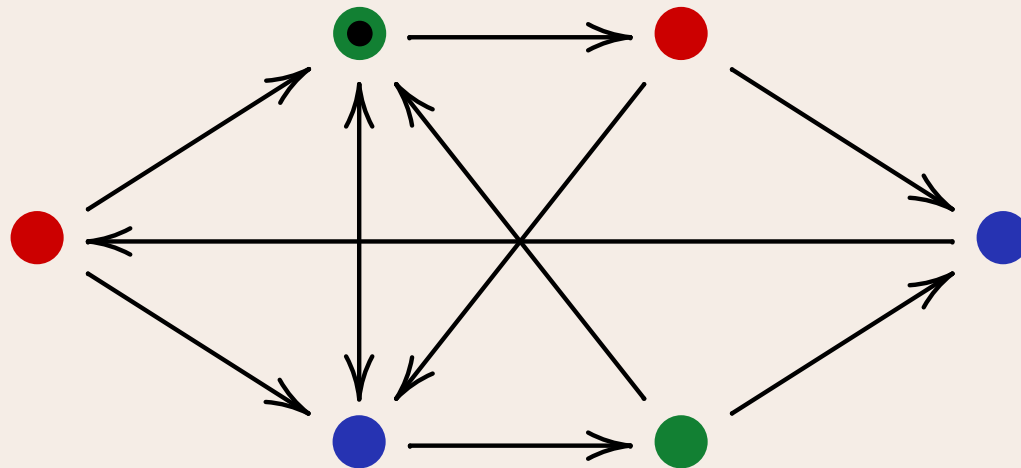
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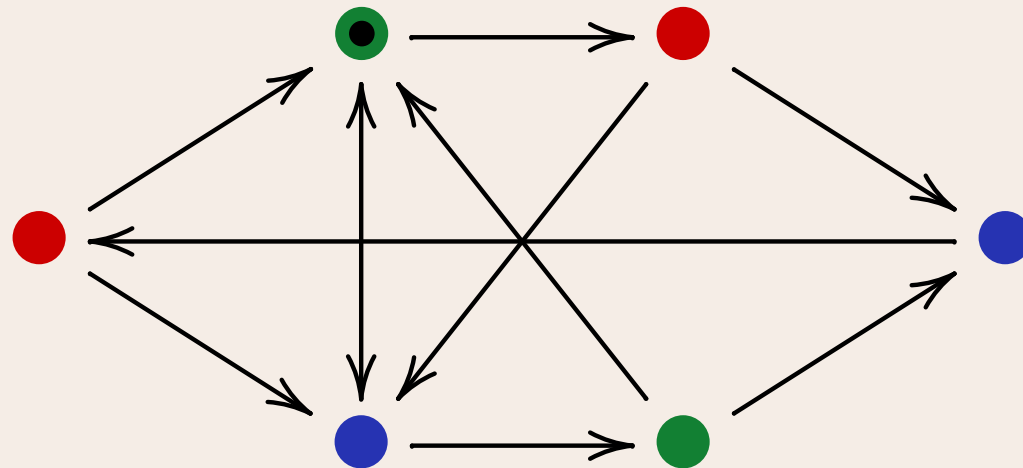
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Objectives of the players are given by properties of infinite paths

# Mathematical Questions

- What does it mean for a player, to play optimally?
- Compute, for each player, the **optimal value** that she can achieve from a given position.
- Which games are **determined** (from each position, one player has a winning strategy)?
- Are there **optimal strategies**? If so, what is their complexity and how to realise them efficiently?
- How much **knowledge** about the history of a play is necessary to determine an optimal next action?
- ...
- What are the right games to model a particular type of interaction?

# The dominant model for infinite graph games

**Arena:**  $\mathcal{G} = (V, V_0, V_1, E, \Omega)$ ,  $V = V_0 \cup V_1$ ,  $E \subseteq V \times V$

$\Omega : V \rightarrow C$  assigns to each position a **priority** or **colour**.

**Move:** take token from current position **along an edge** to a next position

Player 0 moves from positions  $v \in V_0$ , Player 1 moves from  $v \in V_1$ .

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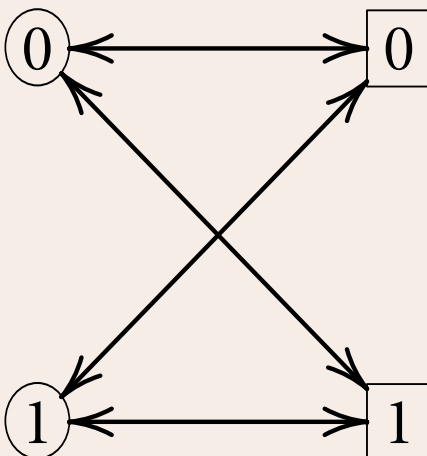
**Winning condition:**  $\text{Win} \subseteq C^\omega$

– finite plays: who cannot move, loses

– infinite plays: Player 0 wins  $\pi$ , if  $\Omega(\pi) \in \text{Win}$ , otherwise Player 1 wins.

# Classical Theory of Gale-Stewart Games

Consider graph games where the arena is the complete bipartite graph  $K_{22}$

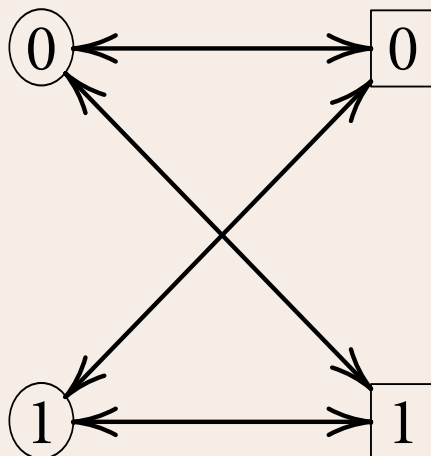


or, equivalently, the infinite binary tree.

Abstract winning condition  $\text{Win} \subseteq \{0, 1\}^\omega$

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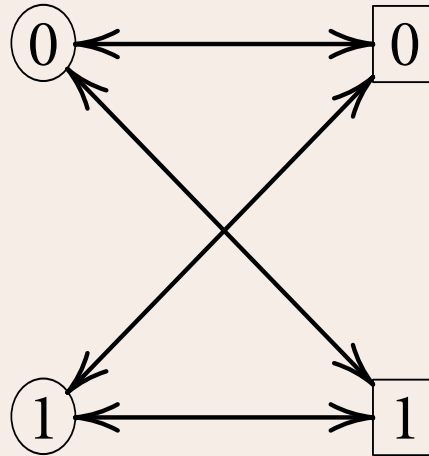
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This amounts to a game where the players alternately select bits

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Similarly for the  $\omega$ -branching games on the graph  $K_{\omega\omega}$  and winning conditions  $\text{Win} \subseteq \omega^\omega$

# Banach-Mazur games

There is another, in fact older, variant of such infinite games where, in each move, the players select not just a bit, but an arbitrary finite word  $w_i \in \{0, 1\}^*$ , again producing an infinite word  $w_0 w_1 w_2 w_3 \dots \in \{0, 1\}^\omega$ .

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These games play an important role in topology.

Original version of **Banach-Mazur games**  $G^{**}(W)$  (see “*The Scottish Book. Mathematics from the Scottish Café*”, Birkhäuser 1981, Problem 43):

For a given winning condition  $W \subseteq \mathbb{R}$ , Player 0 first selects an interval  $d_1 \subset \mathbb{R}$ , then Player 1 chooses a subinterval  $d_2 \subset d_1$ , then Player 0 selects a further refinement  $d_3 \subset d_2$ , and so on . . .

Player 0 wins if, and only if,  $\bigcap_{n \in \omega} d_n$  contains an element of  $W$ .

# Banach-Mazur games on graphs

**Arena:** a directed graph  $G = (V, E)$ , with initial position  $v_0$

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**Central issue in descriptive set theory:** Characterise determined games by **topological** properties of the winning conditions.

# Topology

On  $B^\omega$ , define a **topology** with **basic open sets**  $O(x) := x \cdot B^\omega$ , for  $x \in B^*$ .

- $L$  is **open**  $\iff L = W \cdot B^\omega$  (for some  $W \subseteq B^*$ )
- $L$  is **closed**  $\iff B^\omega - L$  is open  $\iff L = [T]$   
where  $[T]$  is the set of infinite branches of a tree  $T \subseteq B^*$ .
- $L$  is **nowhere dense** if the closure of  $L$  contains no non-empty open set.
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In precisely the same way, one defines these topological notions on spaces  $\text{Paths}(G, \nu)$ , the set of infinite paths through  $G$  from  $\nu$ .

# Determinacy of Banach-Mazur games

**Theorem** (Banach-Mazur) In a Banach-Mazur game  $\text{BM}(G, v_0, W)$

- (1) Alter has a winning strategy  $\iff W$  is meager in  $\text{Paths}(G, v_0)$ .
- (2) Ego has a winning strategy  $\iff$  there exists a finite path  $x$  from  $v_0$  such that  $O(x) \setminus W$  is meager (i.e.  $W$  co-meager in some basic open set).

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**Remark.** Standard winning conditions used in applications (e.g. all  $\omega$ -regular winning conditions) are in low levels of the Borel hierarchy.

# Determinacy of Banach-Mazur games

It suffices to prove the claim for Alter.

Let  $W = \bigcup_{n \in \omega} X_n$  with  $X_n$  nowhere dense. In his  $n$ -th move, Player A moves to some  $x_n$  such that  $O(x_n) \cap X_n = \emptyset$ . The play produced in this way is contained in all  $O(x_n)$ , hence not in  $W$ .

For the converse, let  $f$  be any strategy of Alter in the game  $\text{BM}(G, v, W)$ .

It suffices to verify that

$\text{Plays}(f) := \{ \pi \in \text{Paths}(G, v) : \pi \text{ is consistent with } f \}$  is co-meager.

But for each  $n$ , the set

$Y_n(f) := \{ \pi \in \text{Paths}(G, v) : \pi \text{ is consistent with } f \text{ for the first } n \text{ moves} \}$

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Consequently, if  $f$  is a winning strategy then  $\text{Plays}(f) \cap W = \emptyset$  hence  $W$  must be meager.

# An application: Characterisation of fairness

In verification one sometimes want to exclude **unfair** runs from consideration and verify the specification only for the fair ones.

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**Definition.** (Varacca, Völzer) A set  $F$  of infinite runs through a transition system  $(G, \nu)$  is a **fairness property** if  $F$  is topologically large (i.e. co-meager) in the set  $\text{Paths}(G, \nu)$  of all possible runs.

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By the Theorem of Banach-Mazur this means that the first player has a winning strategy in the Banach-Mazur game  $\text{BM}(G, \nu, F)$ .

**Game-theoretic view of fairness:** The scheduler has a strategy to ensure a fair run.

# Strategies and positional strategies

A **decomposition invariant** strategy is of the form  $f : V^* \rightarrow V^*$ . It only depends on the finite path constructed so far, not how it has been built up.

**Example.** “Do the same what your opponent did in his last move” is **not** a decomposition invariant strategy.

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A **positional** strategy is of the form  $f : V \rightarrow V^*$ . It only depends on the **current position**, not on the **history** of the play.

A game is **positionally determined** if one of the players has a positional winning strategy. A winning condition **guarantees positional determinacy** if all games with that winning condition are positionally determined.

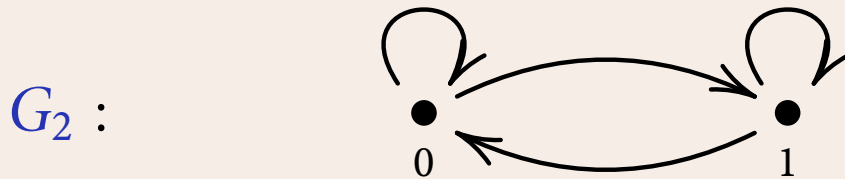
# Positional winning strategy for one player

**Proposition.** If  $W \in \Sigma_2^0$  (countable union of closed sets), and Ego has winning strategy for the game  $\text{BM}(G, v, W)$ , then he also has a positional winning strategy.

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This is **not** always true for  $W \in \Pi_2^0$ :



$$W = \{\pi \in \{0, 1\}^\omega : (\forall m)(\exists n > m) |\{i < n : \pi(i) = 0\}| \geq n/2\}$$

(infinitely many initial segments of  $\pi$  have more zeros than ones)

Ego has a winning strategy for  $\text{BM}(G_2, 0, W)$ , but no positional one.

# $\omega$ -regular winning conditions

Game graph  $\mathcal{G} = (V, E)$  with colouring  $\lambda : V \rightarrow \{0, \dots, d-1\}$ .

**Logical winning conditions:** given by formula  $\varphi$  in some logic on infinite paths, with predicates  $\lambda(v) = i$  ( $i < d$ ), such as

- **S1S**: monadic second-order logic on infinite paths;
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An infinite play  $\pi = v_0 v_1 v_2 \dots$  is won by Ego if

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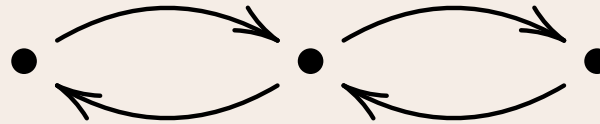
**Parity condition:** Ego wins if the least colour seen infinitely often is even.

# Positional determinacy of classical graph games

For the more common, single step games on graphs

- **parity games** are positionally determined
- Positional strategies do **not** suffice for **Muller games**.

Example:

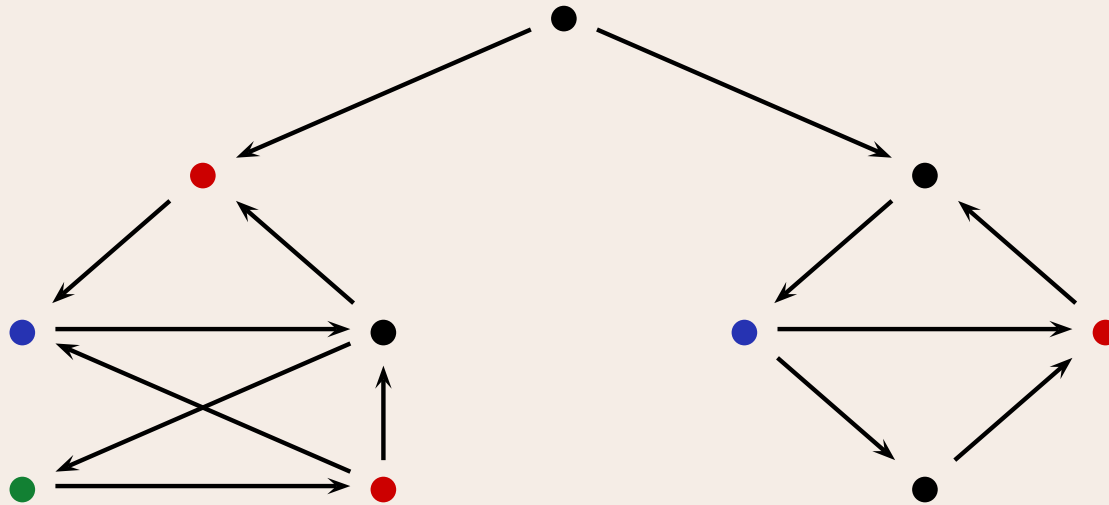


winning condition: all positions must occur infinitely often

# Positional Determinacy of Muller Games

**Proposition.** Muller conditions guarantee positional determinacy for Banach-Mazur games.

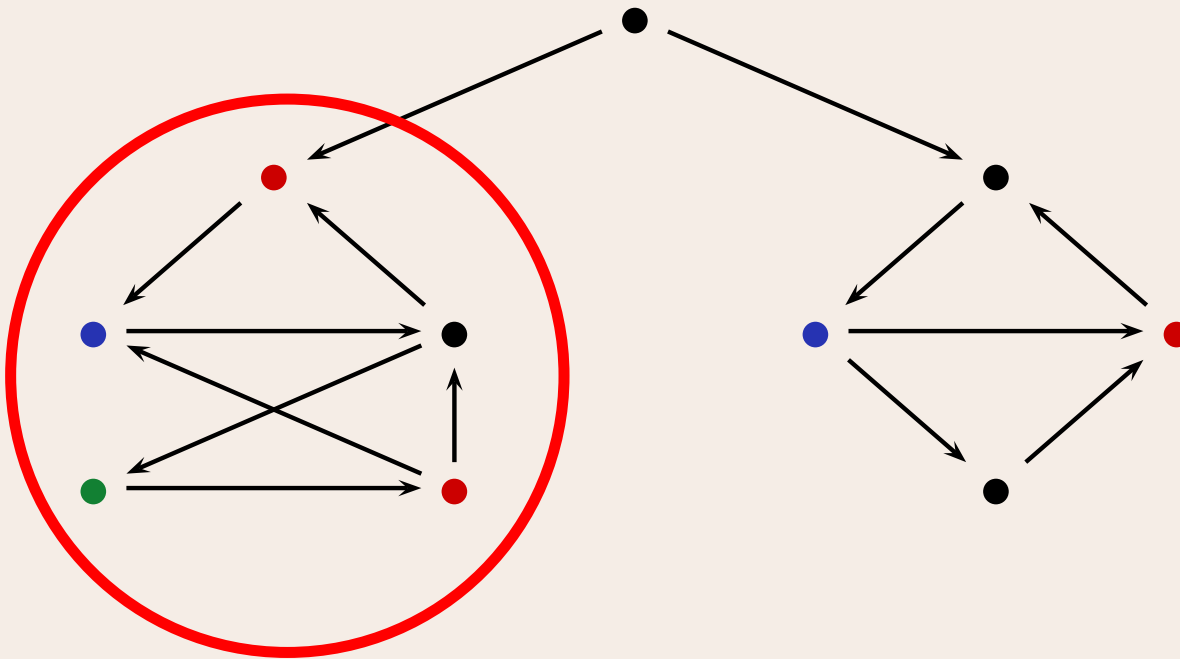
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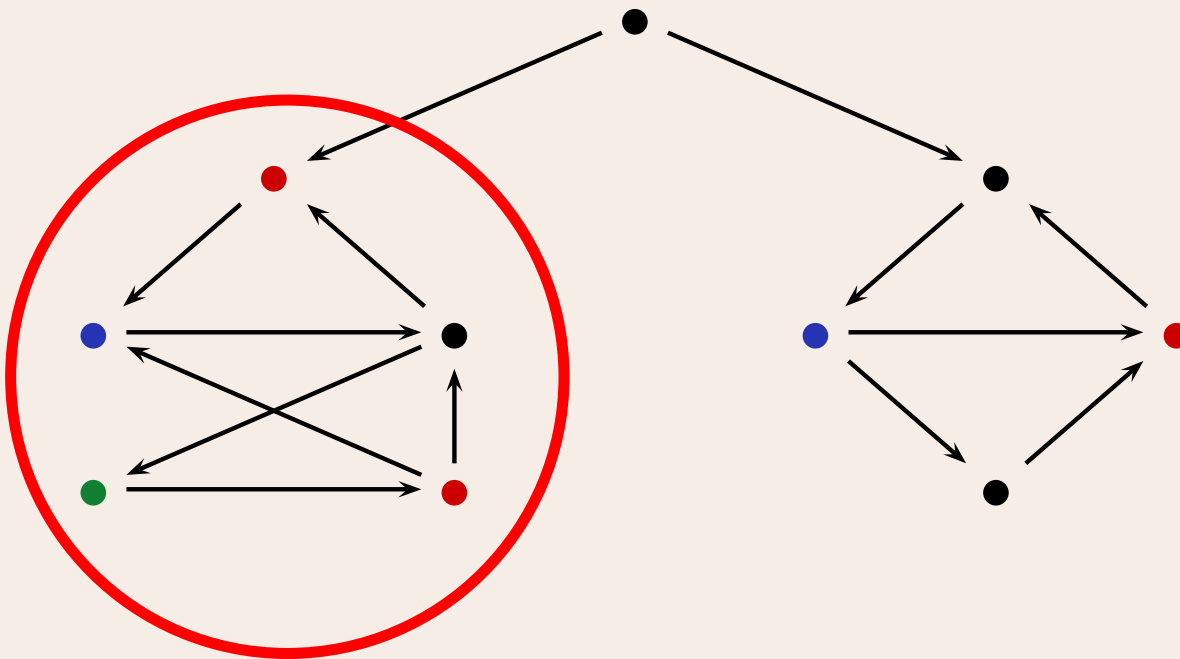
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**Corollary.** Muller games can be solved in time  $O(|G| \cdot |\mathcal{F}|)$ .

# Games with $\omega$ -regular winning conditions

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**Reduction to parity games.** Every game  $G$  with  $\omega$ -regular winning condition  $W$  can be transformed into an equivalent game with a game graph  $G \times M$  and a parity winning condition.

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These results can be directly carried over to Banach-Mazur games.

**But we can do better!**

# Eliminating the finite memory

**Theorem.** Banach-Mazur games that are determined via finite-memory strategies are in fact positionally determined.

**Intuition.** A finite-memory winning strategy for  $G$  leads to a positional winning strategy in an expanded game  $G \times M$ . Combine many segments of plays consistent with this strategy to a single move of the opponent, and combine answers to a fixed answer  $f(v, m)$  that does not depend on  $m$ . Prove that each play consistent with this strategy is also consistent with the original strategy. Since this strategy does not depend on the memory component, it induces a positional winning strategy in the original game.

**Corollary.** For Banach-Mazur games,  $\omega$ -regular winning conditions guarantee positional determinacy.

# Positional determinacy and fairness

From the positional determinacy of the Banach-Mazur games with  $\omega$ -regular winning conditions, it follows that, on finite graphs, Ego has a winning strategy if, and only if,  $W$  is probabilistically large (under positive Markov measures).

**Corollary** (Varacca, Völzer) Any  $\omega$ -regular fairness property has probability 1 under randomised scheduling.

As a further consequence, one can use results about finite Markov chains for checking whether a finite system is **fairly correct** with respect to LTL or  $\omega$ -regular specification.

# Banach-Mazur games with few alternations

We are also interested in Banach-Mazur games where, after a fixed number of moves, only one player remains.

A **game prefix**  $\gamma \in \{E, A\}^\omega$  indicates who begins and how many alternations are played. Obviously,  $EE \equiv E$  and  $AA \equiv A$ . Hence, for any arena  $(G, W)$  we have the following games:

- $(EA)^\omega(G, W)$  and  $(AE)^\omega(G, W)$ :  
usual Banach-Mazur games, with infinite alternations
- $(EA)^k E^\omega(G, W)$  and  $A(EA)^k E^\omega(G, W)$ :  
games ending with infinite path extension by Ego
- $(AE)^k A^\omega(G, W)$  and  $E(AE)^k A^\omega(G, W)$ :  
games where Alter chooses the final infinite lonesome ride

# Planning in nondeterministic domains

**Planning domain:** transition system  $G := (V, (E_a)_{a \in A}, (P_b)_{b \in B})$

**Planning goal:** property of execution paths, specified by  $\varphi \in \text{LTL}$

**Plan:**  $\pi : V^* \rightarrow A$ , assigns to each history an action

**Execution tree:** if the planning domain  $G$  is **deterministic**, then  $\pi$  defines a unique **execution path**. However, if  $G$  is **nondeterministic**, an action may have several outcomes, and a plan  $\pi$  then has not only one execution path, but an **execution tree**  $\mathcal{T}_{G,\pi}$ .

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It may be unrealistic to expect that **all** execution paths of a plan  $\pi$  satisfy the goal  $\varphi$ . On the other side, it is too optimistic to assume that a plan is good if just **one** execution path is consistent with  $\varphi$ .

# Banach-Mazur games for planning

**Pistore/Vardi** study nondeterministic planning by means of games: a plan  $\pi$  is good for the goal  $\varphi$  on domain  $G$  if Ego wins an associated game on the execution tree  $\mathcal{T}_{G,\pi}$ .

- **Weak planning**

There is a path in  $\mathcal{T}_{G,\pi}$  that satisfies  $\varphi$ .

- **Strong planning**

Every path in  $\mathcal{T}_{G,\pi}$  satisfies  $\varphi$ .

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**Theorem.** The planning problem for LTL-goals, described by Banach-Mazur games, can be solved by automata-based methods and is 2EXPTIME-complete.

# Comparing games

$\mathcal{G} \succeq \mathcal{H}$  means that  $\mathcal{G}$  is better for Ego than  $\mathcal{H}$ :

Ego wins  $\mathcal{H} \implies$  Ego wins  $\mathcal{G}$

Alter wins  $\mathcal{G} \implies$  Alter wins  $\mathcal{H}$

$\mathcal{G} \equiv \mathcal{H}$  if  $\mathcal{G} \succeq \mathcal{H}$  and  $\mathcal{H} \succeq \mathcal{G}$

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Obviously,  $EAE^\omega(G, W) \succeq (EA)^k E^\omega(G, W)$ .

But is  $EAE^\omega(G, W)$  strictly better for Ego?

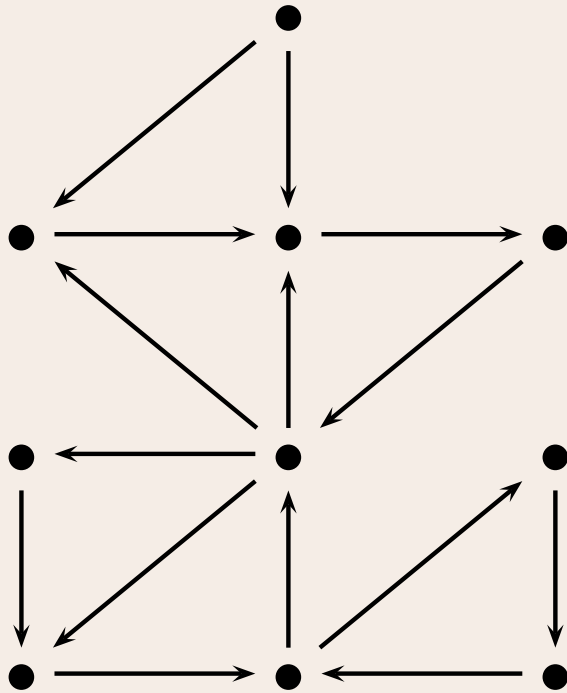
# Game equivalence

**Proposition.**  $EAE^\omega(G, W) \equiv (EA)^k E^\omega(G, W)$

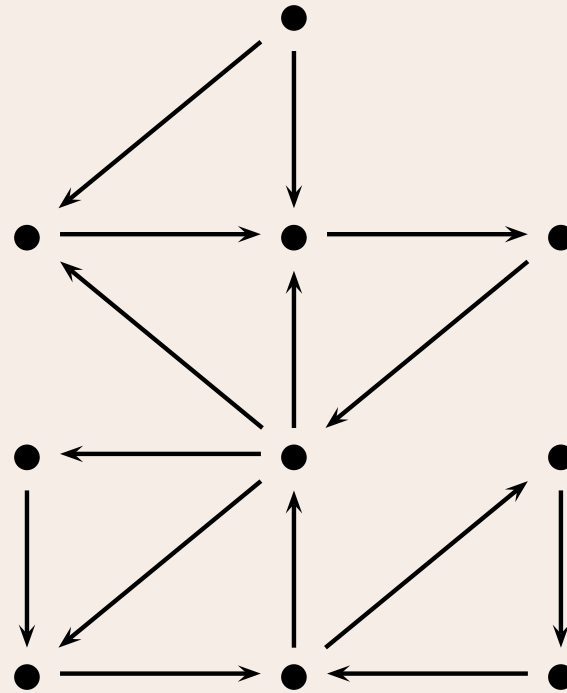
**Proof.** Ego wins  $EAE^\omega(G, W) \implies$  Ego wins  $(EA)^k E^\omega(G, W)$ .

Let  $k = 2$ .

$EAE^\omega(G, W)$



$(EA)^2 E^\omega(G, W)$



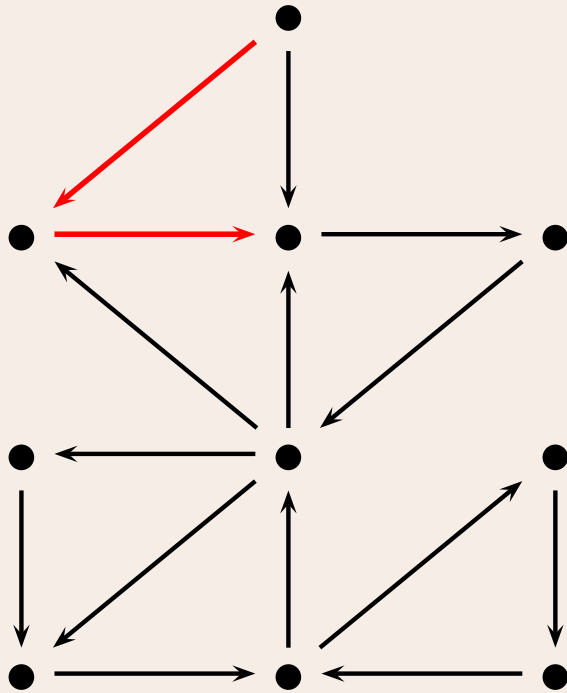
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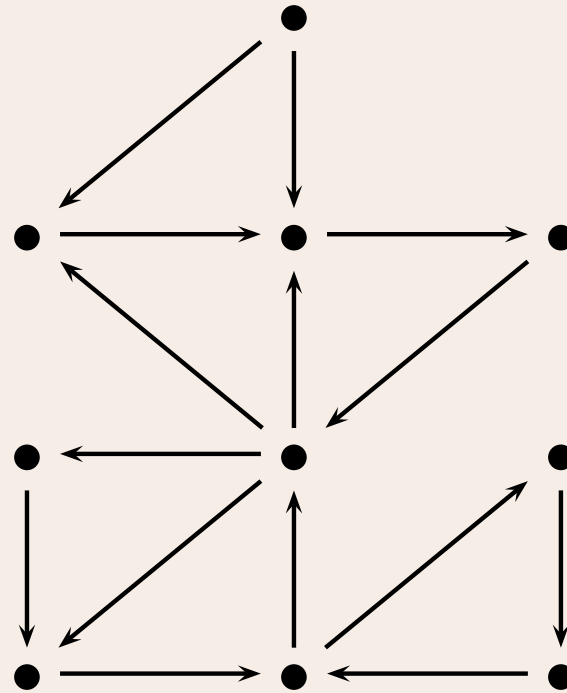
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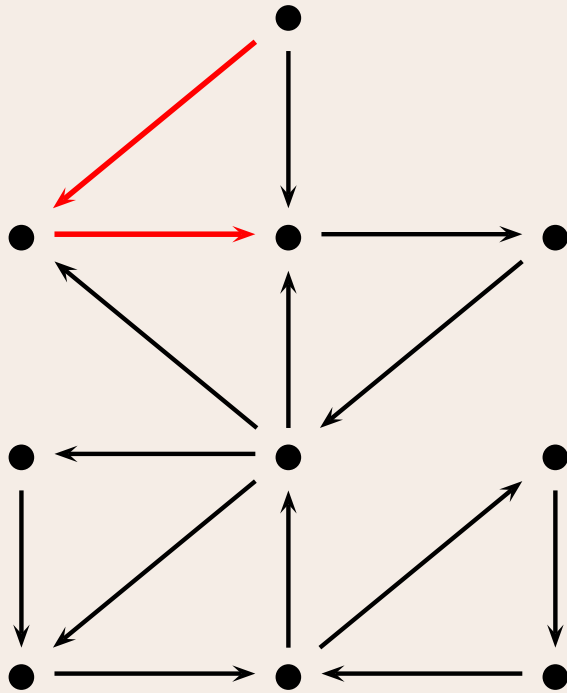
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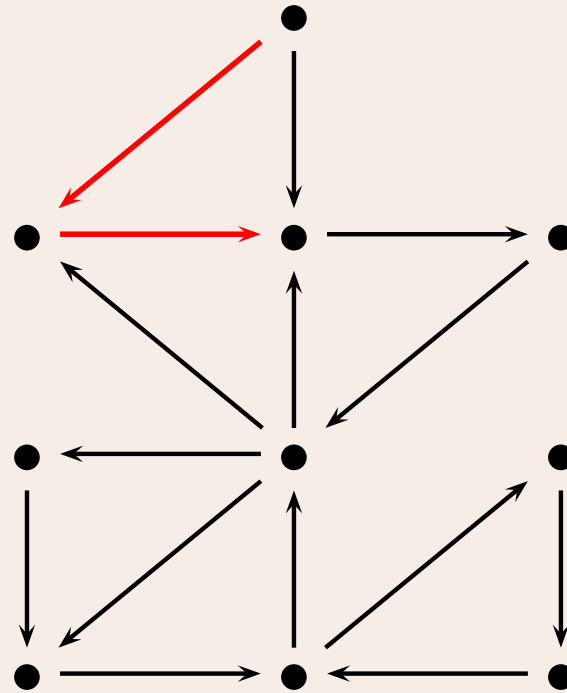
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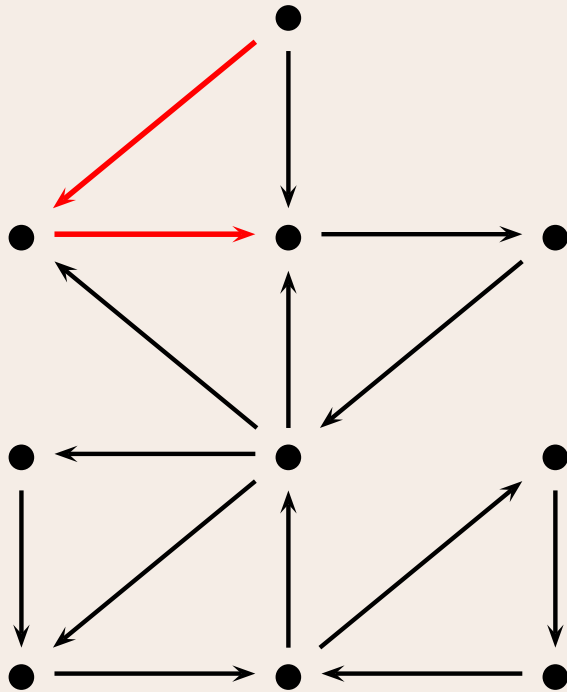
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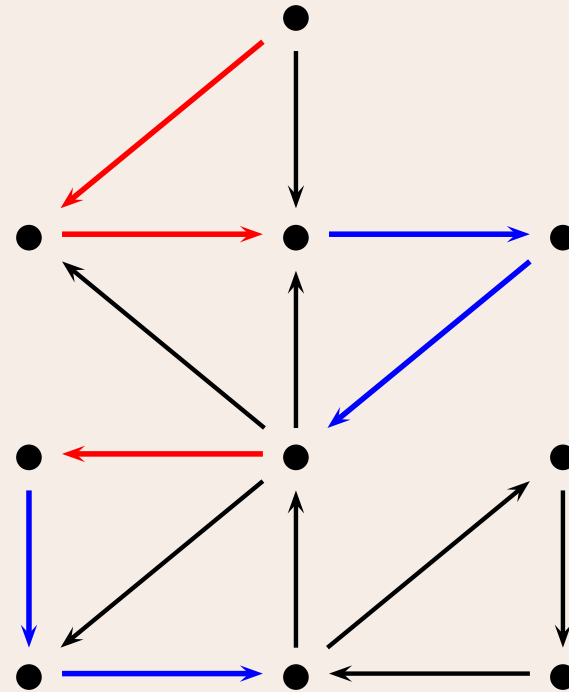
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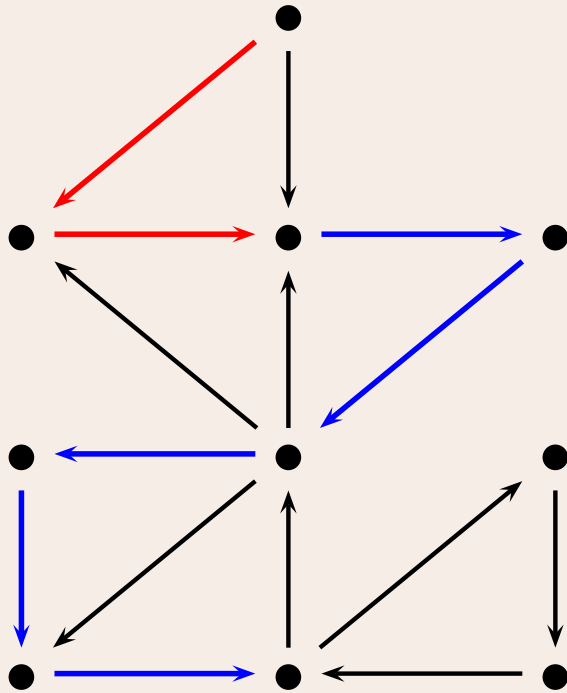
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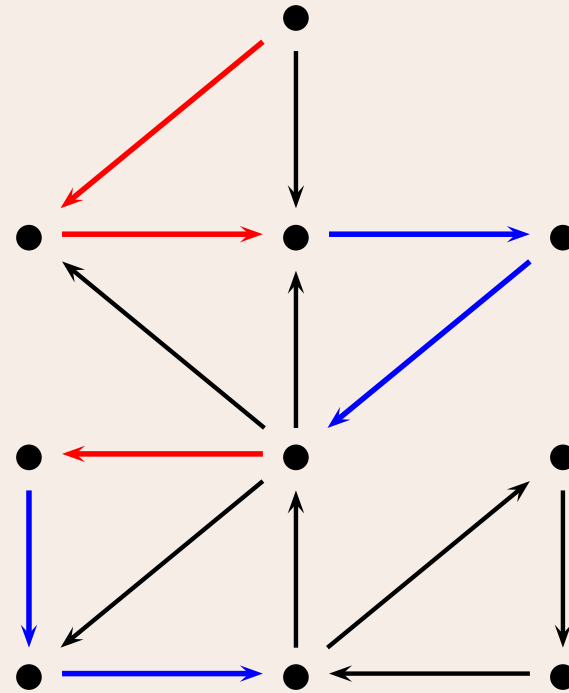
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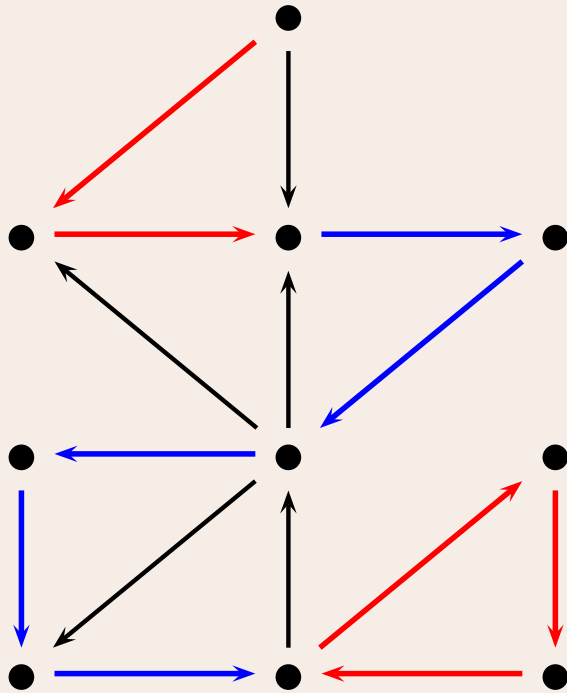
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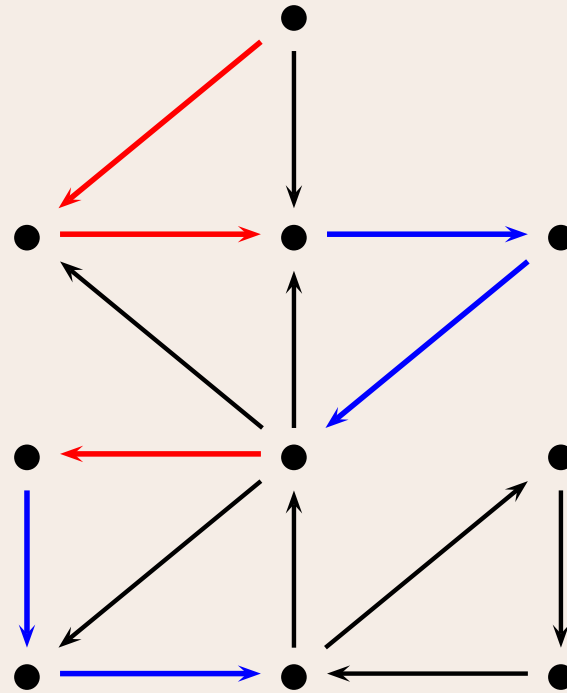
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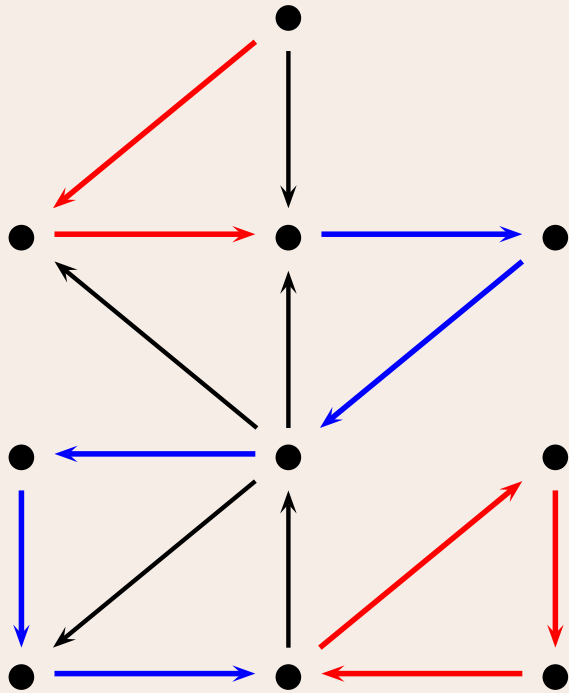
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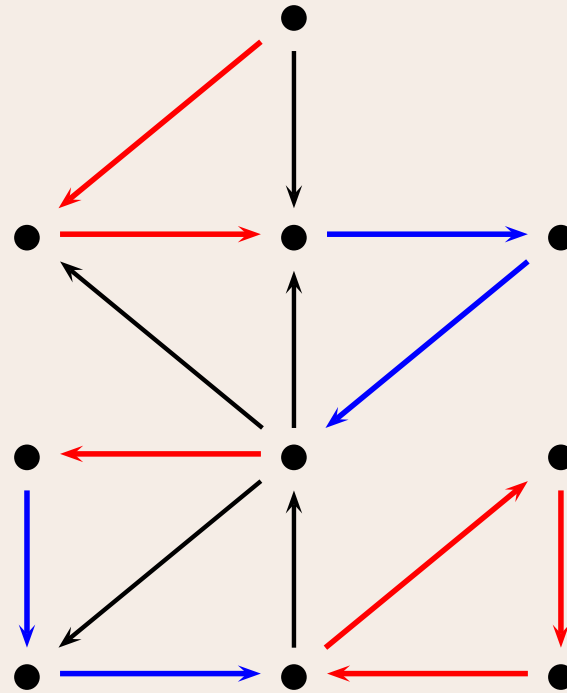
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$EAE^\omega(G, W)$



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# Alternations: one, two, three, infinity

The hierarchy defined by the game prefixes collapses

**Theorem.** For any game graph  $G$  and any winning condition  $W$

$$E^\omega(G, W) \succeq EAE^\omega(G, W) \succeq AE^\omega(G, W)$$

$\mid \Upsilon$

$\mid \Upsilon$

$$(EA)^\omega(G, W) \succeq (AE)^\omega(G, W)$$

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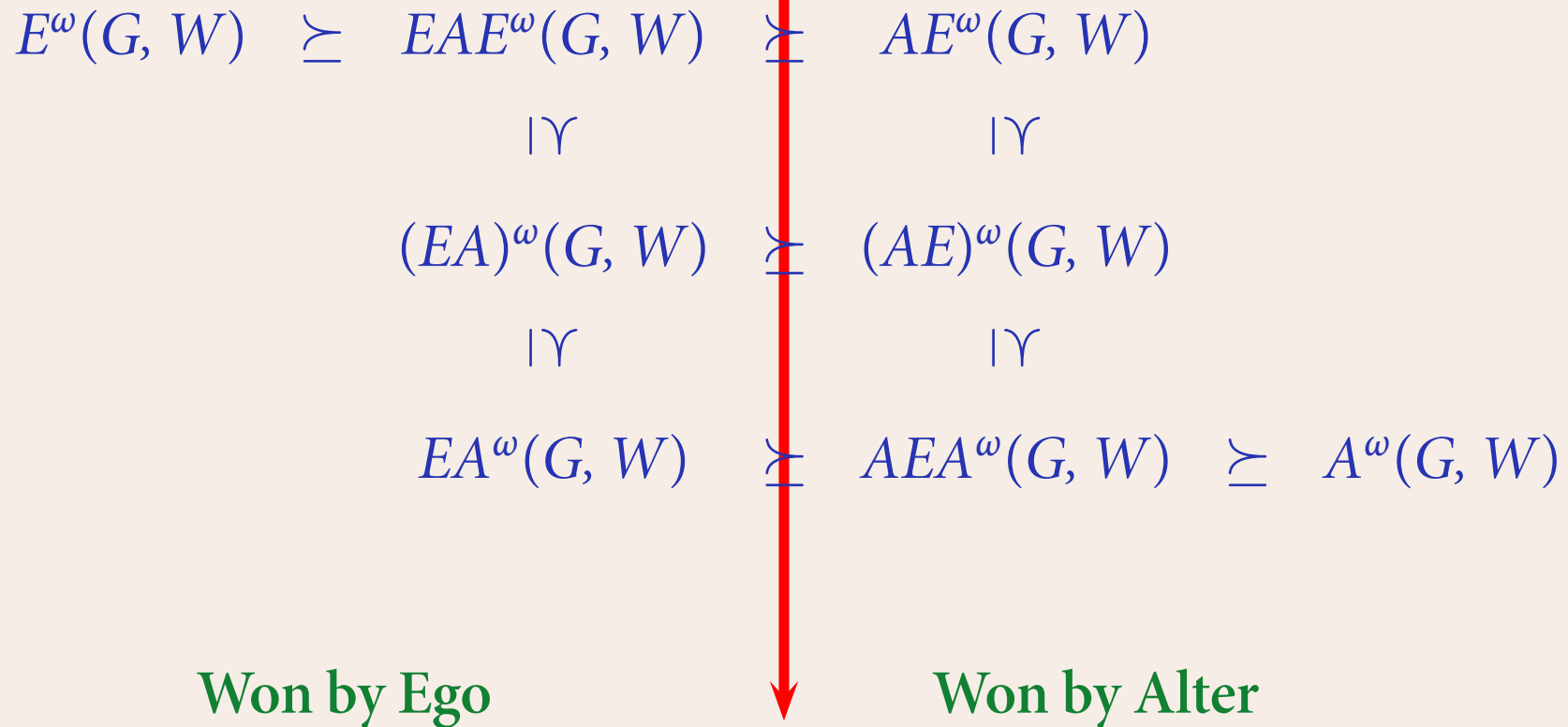
$$EA^\omega(G, W) \succeq AEA^\omega(G, W) \succeq A^\omega(G, W)$$

Every Banach-Mazur game over  $(G, W)$  is equivalent to one of these eight games.

**Remark.** This holds for games with arbitrary payoff functions (that may take other values than 0 and 1). The games need not be determined.

# Alternations: one, two, three, infinity

Start conditions: *Let's have a drink first.*



# Alternations: one, two, three, infinity

Reachability conditions: *Some day, we'll have a drink.*

Guarantee conditions: *Every day, we have a drink.*

$$E^\omega(G, W) \succeq EAE^\omega(G, W) \succeq AE^\omega(G, W)$$

$\downarrow$

$\downarrow$

$$(EA)^\omega(G, W) \succeq (AE)^\omega(G, W)$$

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# Alternations: one, two, three, infinity

## Co-Büchi conditions:

*X occurs only finitely often*

$$E^\omega(G, W) \succeq EAE^\omega(G, W) \succeq AE^\omega(G, W)$$

$\Upsilon$

$\Upsilon$

Won by Ego

Won by Alter

$$(EA)^\omega(G, W) \succeq (AE)^\omega(G, W)$$

$\Upsilon$

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# Alternations: one, two, three, infinity

Büchi conditions: *Y occurs infinitely often*

$$E^\omega(G, W) \succeq EAE^\omega(G, W) \succeq AE^\omega(G, W)$$

$\downarrow \Upsilon$

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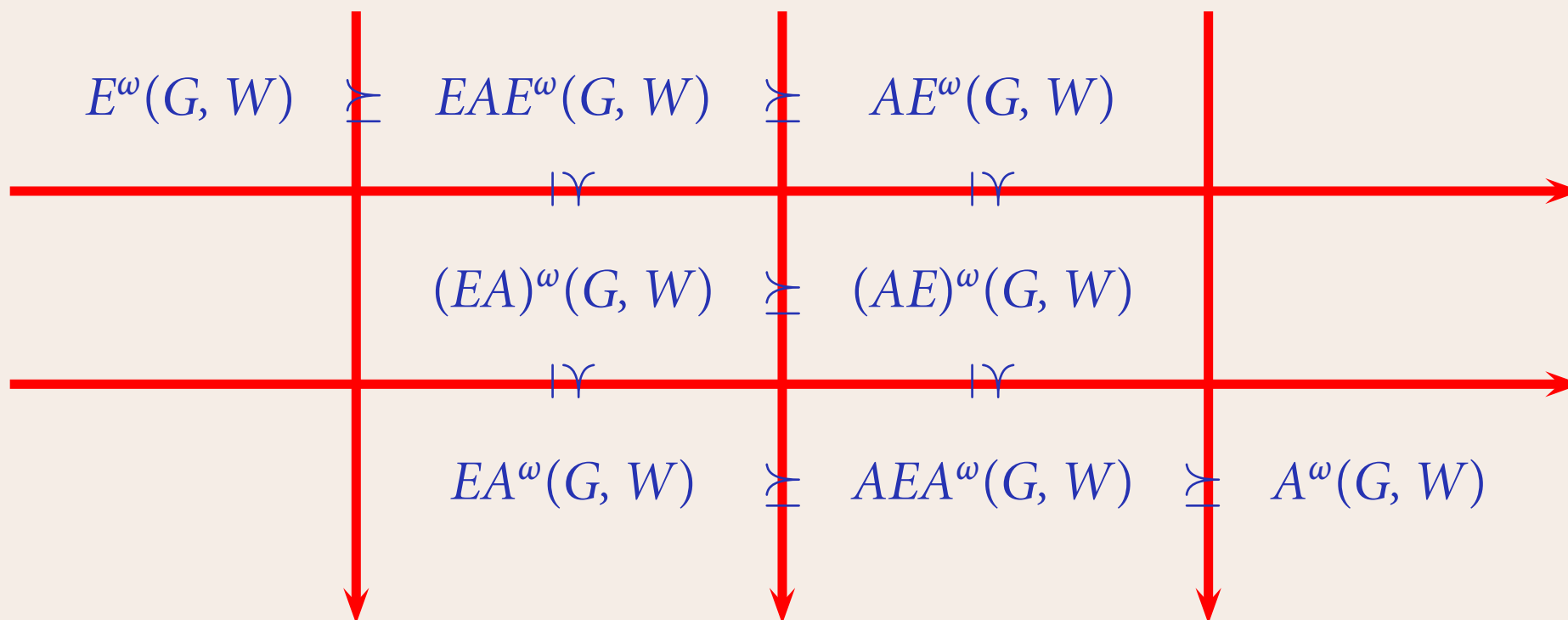
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Won by Ego  
  
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# Definability of Banach-Mazur games

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**Question:** Expressive power of  $\gamma$ .LTL and  $\gamma$ .S1S, compared to common logics on (game) graphs, like  $\mu$ -calculus, CTL\*, FO, and MSO ?

# Definability theorem for Banach-Mazur games

**Theorem.** For any game prefix  $\gamma$

$$(1) \quad \gamma. \text{S1S} \leq L_\mu$$

$$(2) \quad \gamma. \text{LTL} \equiv \gamma. \text{FO} \leq \text{CTL}^*$$

That is, the winner of any Banach-Mazur with S1S resp. LTL winning condition is definable in the modal  $\mu$ -calculus resp. CTL\*.

# Simplification via bisimulation invariance

It suffices to prove, that on **trees**,

$$(1) \quad \gamma. S1S \leq MSO$$

$$(2) \quad \gamma. FO \leq MPL$$

MPL is **monadic path logic**: MSO on trees with second-order quantification restricted to (finite or infinite) paths.

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- winning a (Banach-Mazur) game is **invariant under bisimulation**
- bisimulation-invariant MSO  $\equiv L_\mu$  (Janin/Walukiewicz)
- bisimulation-invariant MPL  $\equiv \text{CTL}^*$  (Hafer/Thomas)  
(Moller/Rabinovitch)

# The simple case: games with finite alternations

Ego wins  $EAE^\omega(\mathcal{T}, \varphi) \iff \mathcal{T} \models \psi$ , where

$$\psi := (\exists X. X \text{ finite path})(\forall Y. X \subseteq Y \wedge Y \text{ finite path}) \\ (\exists Z. Y \subseteq Z \wedge Z \text{ infinite path} \wedge \varphi|_Z)$$

$\varphi|_Z := \varphi$  relativized to the path  $Z$

- $\varphi \in \text{S1S} \implies \psi \in \text{MSO}$
- $\varphi \in \text{LTL} \implies \psi \in \text{MPL}$

# The slightly harder case: games with infinite alternations

Winning strategy of Ego for  $(EA)^\omega(\mathcal{T}, \varphi)$  on tree  $\mathcal{T} = (V, E)$ :  
described by set  $X \subseteq V$  with

- $X$  is non-empty
- for all  $x \in X$  and  $y > x$  there is a  $z > y$  with  $z \in X$
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Obviously, this can be formalised in **MSO**, if  $\varphi \in \mathbf{S1S}$ .

For  $\varphi \in \mathbf{FO}$ , we have to formalise in **MPL**.

In fact we can even formalise in **FO** !

## Normal form for FO:

on infinite paths, every first-order formula is equivalent to

$$\bigvee_i \left( \exists x (\forall y \geq x) \varphi_i \wedge \forall x (\exists y \geq x) \vartheta_i \right)$$

where  $\varphi_i$  and  $\vartheta_i$  contain only **bounded quantifiers** ( $Qz \leq y$ ).

In terms of **LTL**: Every LTL-formula is equivalent to a disjunction of formulae  $(FG\varphi \wedge GF\vartheta)$ , where  $\varphi$  and  $\vartheta$  are **past**-formulae.

Use this to show that on trees,

$$(EA)^\omega. \text{FO} \leq \text{FO} \quad \text{and} \quad (AE)^\omega. \text{FO} \leq \text{FO}.$$

# Conclusion

- Banach-Mazur games are a natural kind of games on graphs.
- Mathmatically and algorithmically, Banach-Mazur games tend to be simpler than the common single-step graph games.
- Finite memory determinacy collapses to positional determinacy.
- All Banach-Mazur games with  $\omega$ -regular winning conditions are positionally determined. Muller games can be solved efficiently.
- For games with bounded alternations, the hierarchy defined by game prefixes collapses to eight different games.
- The winner of  $\omega$ -regular Banach-Mazur games can be defined in the modal  $\mu$ -calculus, and the winner of LTL Banach-Mazur games can be defined in CTL\*.

# The End

